Hecke algebras and symplectic reflection algebras

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The current article is a short survey on the theory of Hecke algebras, and in particular Kazhdan–Lusztig theory, and on the theory of symplectic reflection algebras, and in particular rational Cherednik algebras. The emphasis is on the connections between Hecke algebras and rational Cherednik algebras that could allow us to obtain a generalised Kazhdan–Lusztig theory, or at least its applications, for all complex reflection groups.

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1. Introduction

Finite Coxeter groups are finite groups of real matrices that are generated by reflections. They include the Weyl groups, which are fundamental in the classification of simple complex Lie algebras as well as simple algebraic groups. Iwahori–Hecke algebras associated to Weyl groups appear naturally as endomorphism algebras of induced representations in the study of finite reductive groups. They can also be defined independently as deformations of group algebras of finite Coxeter groups, where the deformation depends on an indeterminate q and a weight function L. For q=1, we recover the group algebra. For a finite Coxeter group W, we will denote by $\mathcal{H}(W,L)$ the associated Iwahori–Hecke algebra.

When q is an indeterminate, the Iwahori–Hecke algebra $\mathcal{H}(W, L)$ is semisimple. By Tits's deformation theorem, there exists a bijection between the set of irreducible representations of $\mathcal{H}(W, L)$ and the set Irr(W) of irreducible representations of W. Using this bijection, Lusztig attaches to every irreducible representation of W an integer depending on L, thus defining the famous a-function. The a-function is used in his definition of families of characters, a partition of Irr(W) which plays a key role in the organisation of families of unipotent characters in the case of finite reductive groups.

Kazhdan–Lusztig theory is a key to understanding the representation theory of the Iwahori–Hecke algebra $\mathcal{H}(W,L)$. There exists a special basis of $\mathcal{H}(W,L)$, called the *Kazhdan–Lusztig basis*, which allows us to define the *Kazhdan–Lusztig cells* for $\mathcal{H}(W,L)$, a certain set of equivalence classes on W. The construction of Kazhdan–Lusztig cells yields the construction of representations for $\mathcal{H}(W,L)$. It also gives another, more combinatorial, definition for Lusztig's families of characters.

Now, when q specialises to a nonzero complex number η , and more specifically to a root of unity, the specialised Iwahori–Hecke algebra $\mathcal{H}_{\eta}(W,L)$ is not necessarily semisimple and we no longer have a bijection between its irreducible representations and Irr(W). We obtain then a decomposition matrix which records how the irreducible representations of the semisimple algebra split after the specialisation. A *canonical basic set* is a subset of Irr(W) in bijection with the irreducible representations of $\mathcal{H}_{\eta}(W,L)$ (and thus a labelling set for the columns of the decomposition matrix) with good properties. Its good properties ensure that the decomposition matrix has a lower unitriangular form while the a-function increases (roughly) down the columns. Canonical basic sets were defined by Geck and Rouquier [2001], who also proved their existence in certain cases with the use of Kazhdan–Lusztig theory. Thanks to the work of many people, canonical basic sets are now proved to exist and explicitly described for all finite Coxeter groups and for any choice of L.

Finite Coxeter groups are particular cases of complex reflection groups, that is, finite groups of complex matrices generated by "pseudoreflections". Their classification is due to Shephard and Todd [1954]: An irreducible complex reflection group either belongs to the infinite series $G(\ell, p, n)$ or is one of the 34 exceptional groups G_4, \ldots, G_{37} (see Theorem 3.1). Important work in the last two decades has suggested that complex reflection groups will play a crucial, but not yet understood role in representation theory, and may even become as ubiquitous in the study of other mathematical structures. In fact, they behave so much like real reflection groups that Broué, Malle and Michel [Broué et al. 1999] conjectured that they could play the role of Weyl groups for, as yet mysterious, objects generalising finite reductive groups. These objects are called *spetses*.

Broué et al. [1998] defined Hecke algebras for complex reflection groups as deformations of their group algebras. A generalised Kazhdan–Lusztig cell theory for these algebras, known as *cyclotomic Hecke algebras*, is expected to help find spetses. Unfortunately, we do not have a Kazhdan–Lusztig basis for complex

reflection groups. However, we can define families of characters using Rouquier's definition: Rouquier [1999] gave an alternative definition for Lusztig's families of characters by proving that, in the case of Weyl groups, they coincide with the blocks of the Iwahori–Hecke algebra over a certain ring, called the *Rouquier ring*. This definition generalises without problem to the case of complex reflection groups and their cyclotomic Hecke algebras, producing the so-called *Rouquier families*. These families have now been determined for all cyclotomic Hecke algebras of all complex reflection groups; see [Chlouveraki 2009].

We also have an a-function and can define canonical basic sets for cyclotomic Hecke algebras. Although there is no Kazhdan–Lusztig theory in the complex case, canonical basic sets are now known to exist for the groups of the infinite series $G(\ell, p, n)$ and for some exceptional ones. In order to obtain canonical basic sets for $G(\ell, 1, n)$, Geck and Jacon used Ariki's Theorem on the categorification of Hecke algebra representations and Uglov's work on canonical bases for higher level Fock spaces [Geck and Jacon 2006; Jacon 2004; 2007; Geck and Jacon 2011]. The result for $G(\ell, p, n)$ derives from that for $G(\ell, 1, n)$ with the use of Clifford theory [Genet and Jacon 2006; Chlouveraki and Jacon 2012].

In this paper we will see how we could use the representation theory of symplectic reflection algebras, and in particular rational Cherednik algebras, to obtain families of characters and canonical basic sets for cyclotomic Hecke algebras associated with complex reflection groups.

Symplectic reflection algebras are related to a large number of areas of mathematics such as combinatorics, integrable systems, real algebraic geometry, quiver varieties, symplectic resolutions of singularities and, of course, representation theory. They were introduced by Etingof and Ginzburg [2002] for the study of symplectic resolutions of the orbit space V/G, where V is a symplectic complex vector space and $G \subset \operatorname{Sp}(V)$ is a finite group acting on V. Verbitsky [2000] has shown that V/G admits a symplectic resolution only if (G,V) is a symplectic reflection group, that is, G is generated by symplectic reflections. Thanks to the insight by Etingof and Ginzburg, the study of the representation theory of symplectic reflection algebras has led to the (almost) complete classification of symplectic reflection groups (G,V) such that V/G admits a symplectic resolution.

Let (G, V) be a symplectic reflection group, and let TV^* denote the tensor algebra on the dual space V^* of V. The symplectic reflection algebra $H_{t,c}(G)$ associated to (G, V) is defined as the quotient of $TV^* \rtimes G$ by certain relations depending on a complex function c and a parameter t. The representation theory of $H_{t,c}(G)$ varies a lot according to whether t is zero or not. A complex reflection group $W \subset GL(\mathfrak{h})$, where \mathfrak{h} is a complex vector space, can be seen as a symplectic reflection group acting on $V = \mathfrak{h} \oplus \mathfrak{h}^*$. Symplectic reflection algebras associated

with complex reflection groups are known as rational Cherednik algebras.

If $t \neq 0$, there exists an important category of representations of the rational Cherednik algebra, the *category* \mathcal{O} , and an exact functor, the KZ-functor, from O to the category of representations of a certain specialised cyclotomic Hecke algebra $\mathcal{H}_n(W)$ (the specialisation depends on the choice of parameters for the rational Cherednik algebra — every specialised Hecke algebra can arise this way). Category \mathcal{O} is a highest weight category, and it comes equipped with a set of standard modules $\{\Delta(E) \mid E \in Irr(W)\}$, a set of simple modules $\{L(E) \mid E \in Irr(W)\}\$ and a decomposition matrix that records the number of times that L(E) appears in the composition series of $\Delta(E')$ for $E, E' \in Irr(W)$. The exactness of KZ allows us to read off the decomposition matrix of $\mathcal{H}_n(W)$ from the decomposition matrix of category \mathcal{O} . Using this, we proved in [Chlouveraki et al. 2012] the existence of canonical basic sets for all finite Coxeter groups and for complex reflection groups of type $G(\ell, 1, n)$. In particular, we showed that E belongs to the canonical basic set for $\mathcal{H}_{\eta}(W)$ if and only if $KZ(L(E)) \neq 0$. Our proof of existence is quite general and it does not make use of Ariki's Theorem for type $G(\ell, 1, n)$. However, the explicit description of canonical basic sets in these cases by previous works answers simultaneously the question of which simple modules are killed by the KZ-functor; this appears to be new. We also proved that the images of the standard modules via the KZ-functor are isomorphic to the cell modules of Hecke algebras with cellular structure, but we will not go into that in this paper.

The case t=0 yields the desired criterion for the space V/W to admit a symplectic resolution. It is a beautiful result due to Ginzburg and Kaledin [2004] and Namikawa [2011] that V/W admits a symplectic resolution if and only if the spectrum of the centre of $H_{0,c}(W)$ is smooth for generic c. The space $X_c(W) := \operatorname{Spec}(Z(H_{0,c}(W)))$ is called *generalised Calogero–Moser space*. Gordon [2003] introduced and studied extensively a finite-dimensional quotient of $H_{0,c}(W)$, called the *restricted rational Cherednik algebra*, whose simple modules are parametrised by $\operatorname{Irr}(W)$. The decomposition of this algebra into blocks induces a partition of $\operatorname{Irr}(W)$, known as *Calogero–Moser partition*. We have that $X_c(W)$ is smooth if and only if the Calogero–Moser partition is trivial for all parabolic subgroups of W. Following the classification of irreducible complex reflection groups, and the works of Etingof and Ginzburg [2002], Gordon [2003] and Gordon and Martino [2009], Bellamy [2009] was able to prove that V/W admits a symplectic resolution if and only if $W = G(\ell, 1, n)$ or $W = G_4$.

A connection is conjectured between the Calogero–Moser partition and the families of characters, first suggested by Gordon and Martino [2009] for type B_n . In every case studied so far, the partition into Rouquier families (for a suitably chosen cyclotomic Hecke algebra) refines the Calogero–Moser partition

("Martino's conjecture"), while for finite Coxeter groups the two partitions coincide. The reasons for this connection are still unknown, since there is no apparent connection between Hecke algebras and rational Cherednik algebras at t=0. Inspired by this, and in an effort to construct a generalised Kazhdan–Lusztig cell theory, Bonnafé and Rouquier have used the Calogero–Moser partition to develop a "Calogero–Moser cell theory" which can be applied to all complex reflection groups [Bonnafé and Rouquier 2013]. The fruits of this very recent approach remain to be seen.

1A. *Piece of notation and definition of blocks.* Let R be a commutative integral domain and let F be the field of fractions of R. Let A be an R-algebra, free and finitely generated as an R-module. If R' is a commutative integral domain containing R, we will write R'A for $R' \otimes_R A$.

Let now K be a field containing F such that the algebra KA is semisimple. The primitive idempotents of the centre Z(KA) of KA are in bijection with the irreducible representations of KA. Let Irr(KA) denote the set of irreducible representations of KA. For $\chi \in Irr(KA)$, let e_{χ} be the corresponding primitive idempotent of Z(KA). There exists a unique partition Bl(A) of Irr(KA) that is the finest with respect to the property:

$$\sum_{\chi \in B} e_{\chi} \in A \quad \text{for all } B \in \text{Bl}(A).$$

The elements $e_B := \sum_{\chi \in B} e_{\chi}$, for $B \in \text{Bl}(A)$, are the primitive idempotents of Z(A). We have

$$A \cong \prod_{B \in \mathrm{Bl}(A)} Ae_B$$
.

The parts of Bl(A) are the *blocks* of A.

2. Iwahori-Hecke algebras

In this section we will focus on real reflection groups, while in the next section we will see what happens in the complex case.

2A. *Kazhdan–Lusztig cells.* Let (W, S) be a finite Coxeter system. By definition, W has a presentation of the form

$$W = \langle S \mid (st)^{m_{st}} = 1 \text{ for all } s, t \in S \rangle,$$

with $m_{ss} = 1$ and $m_{st} \ge 2$ for $s \ne t$. We have a *length function* $\ell : W \to \mathbb{Z}_{\ge 0}$ defined by $\ell(w) := \min \{r \mid w = s_{i_1} \dots s_{i_r} \text{ with } s_{i_j} \in S \}$ for all $w \in W$.

Let $L: W \to \mathbb{Z}_{\geq 0}$ be a weight function, that is, a map such that L(ww') = L(w) + L(w') whenever $\ell(ww') = \ell(w) + \ell(w')$. For $s, t \in S$, we have L(s) = L(t) whenever s and t are conjugate in W. Let q be an indeterminate. We define

the *Iwahori–Hecke algebra* of W with parameter L, denoted by $\mathcal{H}(W,L)$, to be the $\mathbb{Z}[q,q^{-1}]$ -algebra generated by elements $(T_s)_{s\in S}$ satisfying the relations:

$$(T_s - q^{L(s)})(T_s + q^{-L(s)}) = 0$$
 and $\underbrace{T_s T_t T_s T_t \dots}_{m_{st}} = \underbrace{T_t T_s T_t T_s \dots}_{m_{st}}$ for $s \neq t$

If L(s) = L(t) for all $s, t \in S$, we say that we are in the *equal parameter case*. Since L is a weight function, unequal parameters can only occur in irreducible types B_n , F_4 and dihedral groups $I_2(m)$ for m even.

Example 2.1. Let $W = \mathfrak{S}_3$. We have $W = \langle s, t | s^2 = t^2 = (st)^3 = 1 \rangle$. Let $l := L(s) = L(t) \in \mathbb{Z}_{>0}$. We have

$$\mathcal{H}(W, l) = \langle T_s, T_t | T_s T_t T_s = T_t T_s T_t, (T_s - q^l)(T_s + q^{-l}) = (T_t - q^l)(T_t + q^{-l}) = 0 \rangle.$$

Let $w \in W$ and let $w = s_{i_1} \dots s_{i_r}$ be a reduced expression for w, that is, $r = \ell(w)$. Set $T_w := T_{s_{i_1}} \dots T_{s_{i_r}}$. As a $\mathbb{Z}[q, q^{-1}]$ -module, $\mathcal{H}(W, L)$ is generated by the elements $(T_w)_{w \in W}$ satisfying the following multiplication formulas:

$$\begin{cases} T_s^2 = 1 + (q^{L(s)} - q^{-L(s)}) T_s & \text{for } s \in S, \\ T_w T_{w'} = T_{ww'} & \text{if } \ell(ww') = \ell(w) + \ell(w'). \end{cases}$$

The elements $(T_w)_{w \in W}$ form a basis of $\mathcal{H}(W, L)$, the *standard basis*.

Let i be the algebra involution on $\mathcal{H}(W, L)$ given by $i(q) = q^{-1}$ and $i(T_s) = T_s^{-1}$ for $s \in S$ (as a consequence, $i(T_w) = T_{w^{-1}}^{-1}$ for all $w \in W$). By [Kazhdan and Lusztig 1979, Theorem 1.1] (see [Lusztig 1983, Proposition 2] for the unequal parameter case), for each $w \in W$, there exists an element $C_w \in \mathcal{H}(W, L)$ uniquely determined by the conditions

$$i(C_w) = C_w$$
 and and $i(C_w) = T_w + \sum_{x \in W, x < w} P_{x,w} T_x$,

where < stands for the Chevalley–Bruhat order on W and $P_{x,w} \in q^{-1}\mathbb{Z}[q^{-1}]$. The elements $(C_w)_{w\in W}$ also form a basis of $\mathcal{H}(W,L)$, the *Kazhdan–Lusztig basis*.

Example 2.2. We have $C_1 = T_1 = 1$ and, for all $s \in S$,

$$C_s = \begin{cases} T_s & \text{if } L(s) = 0, \\ T_s + q^{-L(s)} T_1 & \text{if } L(s) > 0. \end{cases}$$

Using the Kazhdan–Lusztig basis, we can now define the following three preorders on W. For $x, y \in W$, we have

- $x \leq_{\mathcal{L}} y$ if C_x appears with nonzero coefficient in hC_y for some $h \in \mathcal{H}(W, L)$.
- $x \leq_{\mathcal{R}} y$ if C_x appears with nonzero coefficient in $C_y h'$ for some $h' \in \mathcal{H}(W, L)$.

• $x \leq_{\mathcal{LR}} y$ if C_x appears with nonzero coefficient in hC_yh' for some $h, h' \in \mathcal{H}(W, L)$.

The preorder $\leq_{\mathcal{L}}$ defines an equivalence relation $\sim_{\mathcal{L}}$ on W as follows:

$$x \sim_{\mathcal{L}} y \iff x \leq_{\mathcal{L}} y \text{ and } y \leq_{\mathcal{L}} x.$$

The equivalence classes for $\sim_{\mathcal{L}}$ are called *left cells*. Similarly, one can define equivalence relations $\sim_{\mathcal{R}}$ and $\sim_{\mathcal{LR}}$ on W, whose equivalence classes are called, respectively, *right cells* and *two-sided cells*.

Example 2.3. For $W = \mathfrak{S}_3 = \{1, s, t, st, ts, sts = tst\}$ and l > 0,

- the left cells are $\{1\}$, $\{s, ts\}$, $\{t, st\}$ and $\{sts\}$;
- the right cells are $\{1\}$, $\{s, st\}$, $\{t, ts\}$ and $\{sts\}$;
- the two-sided cells are $\{1\}$, $\{s, t, st, ts\}$ and $\{sts\}$.

If l = 0, then all elements of W belong to the same cell (left, right or two-sided).

Let now \mathfrak{C} be a left cell of W. The following two $\mathbb{Z}[q,q^{-1}]$ -modules are left ideals of $\mathcal{H}(W,L)$:

$$\mathcal{H}_{\leq_{\mathcal{L}}\mathfrak{C}} = \langle C_y \mid y \leq_{\mathcal{L}} w, w \in \mathfrak{C} \rangle_{\mathbb{Z}[q,q^{-1}]},$$

$$\mathcal{H}_{\leq_{\mathcal{L}}\mathfrak{C}} = \langle C_y \mid y \leq_{\mathcal{L}} w, w \in \mathfrak{C}, y \notin \mathfrak{C} \rangle_{\mathbb{Z}[q,q^{-1}]}.$$

Then

$$\mathcal{M}_{\mathfrak{C}} := \mathcal{H}_{\leq_{\mathfrak{C}}\mathfrak{C}}/\mathcal{H}_{\leq_{\mathfrak{C}}\mathfrak{C}}$$

is a free left $\mathcal{H}(W, L)$ -module with basis indexed by the elements of \mathfrak{C} .

Let K be a field containing $\mathbb{Z}[q, q^{-1}]$ such that the algebra $K\mathcal{H}(W, L)$ is split semisimple (for example, take $K = \mathbb{C}(q)$). Then, since the left cells form a partition of W, we obtain a corresponding direct sum decomposition of $K\mathcal{H}(W, L)$:

$$K\mathcal{H}(W,L)\cong\bigoplus_{\mathfrak{C} \text{ left cell}} K\mathcal{M}_{\mathfrak{C}}$$
 (isomorphism of left $K\mathcal{H}(W,L)$ -modules), (2.4)

where $K\mathcal{M}_{\mathfrak{C}} := K \otimes_{\mathbb{Z}[q,q^{-1}]} \mathcal{M}_{\mathfrak{C}}$. We obtain analogous decompositions with respect to right and two-sided cells.

2B. Schur elements and the a-function. From now on, set $R := \mathbb{Z}[q, q^{-1}]$ and let K be a field containing R such that the algebra $K\mathcal{H}(W, L)$ is split semisimple.

Using the standard basis of the Iwahori–Hecke algebra, we define the linear map $\tau: \mathcal{H}(W,L) \to R$ by setting

$$\tau(T_w) := \begin{cases} 1 & \text{if } w = 1, \\ 0 & \text{otherwise.} \end{cases}$$

The map τ is a symmetrising trace on $\mathcal{H}(W, L)$, that is,

- (a) $\tau(hh') = \tau(h'h)$ for all $h, h' \in \mathcal{H}(W, L)$, and
- (b) the map $\widehat{\tau}: \mathcal{H}(W, L) \to \operatorname{Hom}_R(\mathcal{H}(W, L), R), h \mapsto (x \mapsto \tau(hx))$ is an isomorphism of $\mathcal{H}(W, L)$ -bimodules.

Moreover, the elements $(T_{w^{-1}})_{w\in W}$ form a basis of $\mathcal{H}(W,L)$ dual to the standard basis with respect to τ (that is, $\tau(T_{w^{-1}}T_{w'})=\delta_{w,w'}$) [Geck and Pfeiffer 2000, Proposition 8.1.1]. The map τ is called the *canonical symmetrising trace* on $\mathcal{H}(W,L)$, because it specialises to the canonical symmetrising trace on the group algebra $\mathbb{Z}[W]$ when $q\mapsto 1$.

Now, the map τ can be extended to $K\mathcal{H}(W,L)$ by extension of scalars. By Tits's deformation theorem (see, for example, [Geck and Pfeiffer 2000, Theorem 7.4.6]), the specialisation $q \mapsto 1$ induces a bijection between the set of irreducible representations $\operatorname{Irr}(K\mathcal{H}(W,L))$ of $K\mathcal{H}(W,L)$ and the set of irreducible representations $\operatorname{Irr}(W)$ of W. For $E \in \operatorname{Irr}(W)$, let χ_E be the corresponding irreducible character of $K\mathcal{H}(W,L)$ and let ω_{χ_E} be the corresponding central character. We define

$$s_E := \chi_E(\hat{\tau}^{-1}(\chi_E))/\chi_E(1) = \omega_{\chi_E}(\hat{\tau}^{-1}(\chi_E))$$

to be the *Schur element* of $\mathcal{H}(W, L)$ associated with E. Geck has shown (see [Geck and Pfeiffer 2000, Proposition 7.3.9]) that $s_E \in \mathbb{Z}_K[q, q^{-1}]$ for all $E \in Irr(W)$, where \mathbb{Z}_K denotes the integral closure of \mathbb{Z} in K. We have

$$\tau = \sum_{E \in Irr(W)} \frac{1}{s_E} \chi_E \tag{2.5}$$

and

$$e_E = \frac{1}{s_E} \sum_{w \in W} \chi_E(T_w) T_{w^{-1}}, \tag{2.6}$$

where e_E is the primitive central idempotent of $K\mathcal{H}(W, L)$ corresponding to E. Both results are due to Curtis and Reiner [1962], but we follow the exposition in [Geck and Pfeiffer 2000, Theorem 7.2.6] and [loc. cit., Proposition 7.2.7], respectively.

Example 2.7. In the group algebra case $(L(s) = 0 \text{ for all } s \in S)$, we have $s_E = |W|/\chi_E(1)$ for all $E \in Irr(W)$.

Example 2.8. The irreducible representations of the symmetric group \mathfrak{S}_n are parametrised by the partitions of n. For $W = \mathfrak{S}_3$, there are three irreducible representations. Let $E^{(3)}$, $E^{(2,1)}$ and $E^{(1,1,1)}$ denote respectively the trivial,

reflection and sign representation of \mathfrak{S}_3 . We have

$$s_{E^{(3)}} = (q^{2l} + 1)(q^{4l} + q^{2l} + 1), \quad s_{E^{(2,1)}} = q^{2l} + 1 + q^{-2l},$$

 $s_{E^{(1,1,1)}} = (q^{-2l} + 1)(q^{-4l} + q^{-2l} + 1).$

We can define the functions $a: Irr(W) \to \mathbb{Z}$ and $A: Irr(W) \to \mathbb{Z}$ by setting

$$a(E) := -\operatorname{val}_q(s_E)$$
 and $A(E) := -\operatorname{deg}_q(s_E)$.

Note that both functions depend on L. For brevity, we will write a_E for a(E) and A_E for A(E).

Example 2.9. For $W = \mathfrak{S}_3$, we have

$$a_{E^{(3)}} = 0,$$
 $a_{E^{(2,1)}} = 2l,$ $a_{E^{(1,1,1)}} = 6l,$ $A_{E^{(3)}} = -6l,$ $A_{E^{(2,1)}} = -2l,$ $A_{E^{(1,1,1)}} = 0.$

The Schur elements of $\mathcal{H}(W, L)$ have been explicitly calculated for all finite Coxeter groups:

- for type A_n by Steinberg [1951],
- for type B_n by Hoefsmit [1974],
- for type D_n by Benson and Gay [1977] (it derives from type B_n with the use of Clifford theory),
- for dihedral groups $I_2(m)$ by Kilmoyer and Solomon [1973],
- for F_4 by Lusztig [1979],
- for E_6 and E_7 by Surowski [1978],
- for E_8 by Benson [1979],
- for H_3 by Lusztig [1982],
- for H_4 by Alvis and Lusztig [1982].

There have been other subsequent proofs of the above results. For example, Iwahori–Hecke algebras of types A_n and B_n are special cases of Ariki–Koike algebras, whose Schur elements have been independently obtained by Geck, Iancu and Malle [2000] and Mathas [2004].

A case-by-case analysis shows that the Schur elements of $\mathcal{H}(W,L)$ can be written in the form

$$s_E = \xi_E \, q^{-a_E} \prod_{\Phi \in \text{Cyc}_E} \Phi(q^{n_{E,\Phi}}), \tag{2.10}$$

where $\xi_E \in \mathbb{Z}_K$, $n_{E,\Phi} \in \mathbb{Z}_{>0}$ and Cyc_E is a family of K-cyclotomic polynomials (see [Geck and Pfeiffer 2000, Chapters 10 and 11; Chlouveraki 2009, Theorem 4.2.5]).

Example 2.11. For $W = \mathfrak{S}_3$, we have

$$s_{E^{(3)}} = \Phi_2(q^{2l})\Phi_3(q^{2l}), \quad s_{E^{(2,1)}} = q^{-2l}\Phi_3(q^{2l}),$$

$$s_{E^{(1,1,1)}} = q^{-6l}\Phi_2(q^{2l})\Phi_3(q^{2l}).$$

2C. Families of characters and Rouquier families. The families of characters are a special partition of the set of irreducible representations of W. In the case where W is a Weyl group, these families play an essential role in the definition of the families of unipotent characters for the corresponding finite reductive groups. Their original definition is due to Lusztig [1984, 4.2] and uses the a-function.

Let $I \subseteq S$ and consider the parabolic subgroup $W_I \subseteq W$ generated by I. Then we have a corresponding parabolic subalgebra $\mathcal{H}(W_I, L) \subseteq \mathcal{H}(W, L)$. By extension of scalars from R to K, we also have a subalgebra $K\mathcal{H}(W_I, L) \subseteq K\mathcal{H}(W, L)$, and a corresponding a-function on the set of irreducible representations of W_I . Denote by Ind_I^S the induction of representations from W_I to W. Let $E \in \operatorname{Irr}(W)$ and $M \in \operatorname{Irr}(W_I)$. We will write $M \leadsto_L E$ if E is a constituent of $\operatorname{Ind}_I^S(M)$ and $a_E = a_M$.

Definition 2.12. The partition of Irr(W) into *families* is defined inductively as follows: when $W = \{1\}$, there is only one family; it consists of the unit representation of W. Assume now that $W \neq \{1\}$ and that the families have already been defined for all proper parabolic subgroups of W. Then $E, E' \in Irr(W)$ are in the same family of W if there exists a finite sequence $E = E_0, E_1, \ldots, E_r = E'$ in Irr(W) such that, for each $i \in \{0, 1, \ldots, r-1\}$, the following condition is satisfied: There exist a subset $I_i \subsetneq S$ and $M_i, M_i' \in Irr(W_{I_i})$ such that M_i, M_i' belong to the same family of W_{I_i} and either

$$M_i \leadsto_L E_i$$
 and $M'_i \leadsto_L E_{i+1}$

or

$$M_i \leadsto_L E_i \otimes \varepsilon$$
 and $M'_i \leadsto_L E_{i+1} \otimes \varepsilon$,

where ε denotes the sign representation of W. We will also refer to these families as Lusztig families.

Lusztig [1987, 3.3 and 3.4] has shown that the functions a and A are both constant on the families of characters, that is, if E and E' belong to the same family, then $a_E = a_{E'}$ and $A_E = A_{E'}$.

The decomposition of W into two-sided cells can be used to facilitate the description of the partition of Irr(W) into families of characters. As we saw in the previous subsection, Tits's deformation theorem yields a bijection between $Irr(K\mathcal{H}(W,L))$ and Irr(W). Let $E \in Irr(W)$ and let V^E be the corresponding simple module of $K\mathcal{H}(W,L)$. Following the direct sum decomposition given by (2.4), there exists a left cell \mathfrak{C} such that V^E is a constituent of $\mathcal{M}_{\mathfrak{C}}$; furthermore,

all such left cells are contained in the same two-sided cell. This two-sided cell, therefore, only depends on E and will be denoted by \mathcal{F}_E . Thus, we obtain a natural surjective map

$$Irr(W) \rightarrow \{\text{set of two-sided cells of } W\}, \quad E \mapsto \mathcal{F}_E$$

(see [Lusztig 1984, 5.15] for the equal parameter case; the same argument works in general).

Definition 2.13. Let $E, E' \in Irr(W)$. We will say that E and E' belong to the same *Kazhdan–Lusztig family* if $\mathcal{F}_E = \mathcal{F}_{E'}$.

The following remarkable result, relating Lusztig families and Kazhdan–Lusztig families, has been proved by Barbasch–Vogan and Lusztig for finite Weyl groups in the equal parameter case [Lusztig 1984, 5.25]. It was subsequently proved [Lusztig 2003, 23.3; Geck 2005] to hold for any finite Coxeter group and any weight function L, assuming that Lusztig's conjectures P1–P15 [Lusztig 2003, 14.2] are satisfied.

Theorem 2.14. Assume that Lusztig's conjectures P1–P15 hold. The Lusztig families and the Kazhdan–Lusztig families coincide.

Lusztig's conjectures P1–P15 concern properties of the Kazhdan–Lusztig basis which should hold for any Coxeter group and in the general multiparameter case. For the moment, Conjectures P1–P15 have been proved in the following cases:

- Equal parameter case for finite Weyl groups [Kazhdan and Lusztig 1980; Lusztig 2003; Springer 1982].
- Equal parameter case for H_3 , H_4 and dihedral groups $I_2(m)$ [Alvis 1987; du Cloux 2006].
- Unequal parameter case for F_4 and dihedral groups $I_2(m)$ [Geck 2004; Geck 2011].
- Asymptotic case and some other cases for B_n [Bonnafé and Iancu 2003; Bonnafé 2006; Bonnafé et al. 2010].

Moreover, these are exactly the cases where we have a description of the Kazhdan–Lusztig cells and Kazhdan–Lusztig families. A conjectural combinatorial description of the Kazhdan–Lusztig cells for type B_n is given by Bonnafé et al. [2010].

Example 2.15. The group \mathfrak{S}_3 has three irreducible representations. For l > 0, each irreducible representation forms a family on its own. This is true in general for the symmetric group \mathfrak{S}_n . For l = 0, all irreducible representations belong to

the same family. This is true in general for the group algebra (L(s) = 0 for all $s \in S$) of every finite Coxeter group.

Rouquier [1999] gave an alternative definition for Lusztig's families. He showed that, for finite Weyl groups in the equal parameter case, the families of characters coincide with the blocks of the Iwahori–Hecke algebra $\mathcal{H}(W,L)$ over the *Rouquier ring*

$$\mathcal{R}_K(q) := \mathbb{Z}_K[q, q^{-1}, (q^n - 1)_{n>1}^{-1}],$$

that is, following (2.6), the nonempty subsets B of Irr(W) which are minimal with respect to the property:

$$\sum_{E \in \mathcal{R}} \frac{\chi_E(h)}{s_E} \in \mathcal{R}_K(q) \quad \text{ for all } h \in \mathcal{H}(W, L).$$

These are the *Rouquier families* of $\mathcal{H}(W, L)$. One advantage of this definition, as we will see in the next section, is that it can be also applied to complex reflection groups. This is important in the "Spetses project" [Broué et al. 1999; 2014].

Following the determination of Rouquier families for all complex reflection groups (see Section 3C for references), and thus for all finite Coxeter groups, one can check that Rouquier's result holds for all finite Coxeter groups for all choices of parameters (by comparing the Rouquier families with the already known Lusztig families [Lusztig 1984; 2003]); that is, we have the following:

Theorem 2.16. Let (W, S) be a finite Coxeter system and let $\mathcal{H}(W, L)$ be an Iwahori–Hecke algebra associated to W. The Lusztig families and the Rouquier families of $\mathcal{H}(W, L)$ coincide.

2D. Canonical basic sets. As we saw in Section 2C, the specialisation $q \mapsto 1$ yields a bijection between the set of irreducible representations of $K\mathcal{H}(W,L)$ and Irr(W). What happens though when q specialises to a complex number? The resulting Iwahori–Hecke algebra is not necessarily semisimple and the first questions that need to be answered are the following: What are the simple modules for the newly obtained algebra? Is there a good way to parametrise them? What are their dimensions? One major approach to answering these questions is through the existence of "canonical basic sets".

Let $\theta: \mathbb{Z}_K[q, q^{-1}] \to K(\eta)$, $q \mapsto \eta$ be a ring homomorphism such that η is a nonzero complex number. Let us denote by $\mathcal{H}_{\eta}(W, L)$ the algebra obtained as a specialisation of $\mathcal{H}(W, L)$ via θ . Set $\mathbb{K} := K(\eta)$. We have the following semisimplicity criterion [Geck and Pfeiffer 2000, Theorem 7.4.7]:

Theorem 2.17. The algebra $\mathbb{K}\mathcal{H}_{\eta}(W, L)$ is semisimple if and only $\theta(s_E) \neq 0$ for all $E \in Irr(W)$.

Following (2.10), $\mathbb{K}\mathcal{H}_n(W, L)$ is semisimple unless η is a root of unity.

Example 2.18. The algebra $\mathbb{Q}(\eta)\mathcal{H}_{\eta}(\mathfrak{S}_3, l)$ is semisimple if and only if $\eta^{2l} \notin \{-1, \omega, \omega^2\}$, where $\omega := \exp(2\pi i/3)$.

If $\mathbb{K}\mathcal{H}_{\eta}(W,L)$ is semisimple, then, by Tits's deformation theorem, the specialisation θ yields a bijection between $\operatorname{Irr}(K\mathcal{H}(W,L))$ and $\operatorname{Irr}(\mathbb{K}\mathcal{H}_{\eta}(W,L))$. Thus, the irreducible representations of $\mathbb{K}\mathcal{H}_{\eta}(W,L)$ are parametrised by $\operatorname{Irr}(W)$. Hence, we need to see what happens when $\mathbb{K}\mathcal{H}_{\eta}(W,L)$ is not semisimple.

Let $R_0(K\mathcal{H}(W,L))$ and $R_0(\mathbb{K}\mathcal{H}_{\eta}(W,L))$ be respectively the Grothendieck groups of finitely generated $K\mathcal{H}(W,L)$ -modules and $\mathbb{K}\mathcal{H}_{\eta}(W,L)$ -modules. The classes [U], where U ranges over simple $K\mathcal{H}(W,L)$ -modules (respectively $\mathbb{K}\mathcal{H}_{\eta}(W,L)$ -modules), generate $R_0(K\mathcal{H}(W,L))$ (respectively $R_0(\mathbb{K}\mathcal{H}_{\eta}(W,L))$). We obtain a well-defined decomposition map

$$d_{\theta}: R_0(K\mathcal{H}(W,L)) \to R_0(\mathbb{K}\mathcal{H}_n(W,L)),$$

such that, for all $E \in Irr(W)$, we have

$$d_{\theta}([V^{E}]) = \sum_{M \in \operatorname{Irr}(\mathbb{K}\mathcal{H}_{n}(W,L))} [V^{E} : M][M].$$

The matrix

$$D_{\theta} = ([V^E : M])_{E \in Irr(W), M \in Irr(\mathbb{K}\mathcal{H}_{\eta}(W, L))}$$

is called the *decomposition matrix with respect to* θ . If $\mathbb{K}\mathcal{H}_{\eta}(W, L)$ is semisimple, then D_{θ} is a permutation matrix.

Definition 2.19. A *canonical basic set* with respect to θ is a subset \mathcal{B}_{θ} of Irr(W) such that

- (a) there exists a bijection $Irr(\mathbb{K}\mathcal{H}_{\eta}(W, L)) \to \mathcal{B}_{\theta}, M \mapsto E_{M}$;
- (b) $[V^{E_M}:M]=1$ for all $M\in Irr(\mathbb{K}\mathcal{H}_{\eta}(W,L));$
- (c) if $[V^E : M] \neq 0$ for some $E \in Irr(W)$, $M \in Irr(\mathbb{K}\mathcal{H}_{\eta}(W, L))$, then either $E = E_M$ or $a_{E_M} < a_E$.

If a canonical basic set exists, the decomposition matrix has a lower unitriangular form (with an appropriate ordering of the rows). Thus, we can obtain a lot of information about the simple modules of $\mathbb{K}\mathcal{H}_{\eta}(W, L)$ from what we already know about the simple modules of $K\mathcal{H}(W, L)$.

A general existence result for canonical basic sets is proved by Geck [2007b, Theorem 6.6], following his earlier work [1998], and that of Geck and Rouquier [2001] and Geck and Jacon [2006]. Another proof is given in [Geck and Jacon 2011]. In every case canonical basic sets are known explicitly, thanks to the

work of many people. For a complete survey on the topic, we refer the reader to [Geck and Jacon 2011].

Example 2.20. Let W be the symmetric group \mathfrak{S}_n . Then W is generated by the transpositions $s_i = (i, i+1)$ for all i = 1, ..., n-1, which are all conjugate in W. Set $l := L(s_1)$ and let η^{2l} be a primitive root of unity of order e > 1. By [Dipper and James 1986, Theorem 7.6], we have that, in this case, the canonical basic set \mathcal{B}_{θ} is the set of e-regular partitions (a partition is e-regular if it does not have e nonzero equal parts). For example, for n = 3, we have $\mathcal{B}_{\theta} = \{E^{(3)}, E^{(2,1)}\}$ for $e \in \{2, 3\}$, and $\mathcal{B}_{\theta} = \operatorname{Irr}(\mathfrak{S}_3)$ for e > 3.

3. Cyclotomic Hecke algebras

Cyclotomic Hecke algebras generalise the notion of Iwahori–Hecke algebras to the case of complex reflection groups. For any positive integer e we will write ξ_e for $\exp(2\pi i/e) \in \mathbb{C}$.

3A. Hecke algebras for complex reflection groups. Let \mathfrak{h} be a finite dimensional complex vector space. A pseudoreflection is a nontrivial element $s \in GL(\mathfrak{h})$ that fixes a hyperplane pointwise, that is, $\dim_{\mathbb{C}} \operatorname{Ker}(s - \mathrm{id}_{\mathfrak{h}}) = \dim_{\mathbb{C}} \mathfrak{h} - 1$. The hyperplane $\operatorname{Ker}(s - \mathrm{id}_{\mathfrak{h}})$ is the reflecting hyperplane of s. A complex reflection group is a finite subgroup of $GL(\mathfrak{h})$ generated by pseudoreflections. The classification of (irreducible) complex reflection groups is due to Shephard and Todd [1954]:

Theorem 3.1. Let $W \subset GL(\mathfrak{h})$ be an irreducible complex reflection group (i.e., W acts irreducibly on \mathfrak{h}). Then one of the following assertions is true:

- There exist positive integers ℓ , p, n with $\ell/p \in \mathbb{Z}$ and $\ell > 1$ such that $(W, \mathfrak{h}) \cong (G(\ell, p, n), \mathbb{C}^n)$, where $G(\ell, p, n)$ is the group of all $n \times n$ monomial matrices whose nonzero entries are ℓ -th roots of unity, while the product of all nonzero entries is an (ℓ/p) -th root of unity.
- There exists a positive integer n such that $(W, \mathfrak{h}) \cong (\mathfrak{S}_n, \mathbb{C}^{n-1})$.
- (W, \mathfrak{h}) is isomorphic to one of the 34 exceptional groups G_n (n = 4, ..., 37).

Remark 3.2. We have

$$G(1, 1, n) \cong \mathfrak{S}_n$$
, $G(2, 1, n) \cong B_n$, $G(2, 2, n) \cong D_n$, $G(m, m, 2) \cong I_2(m)$, $G_{23} \cong H_3$, $G_{28} \cong F_4$, $G_{30} \cong H_4$, $G_{35} \cong E_6$, $G_{36} \cong E_7$, $G_{37} \cong E_8$.

Let $W \subset GL(\mathfrak{h})$ be a complex reflection group. Benard [1976] and Bessis [1997] have proved (using a case-by-case analysis) that the field K generated by the traces on \mathfrak{h} of all the elements of W is a splitting field for W. The field K is

called the *field of definition* of W. If $K \subseteq \mathbb{R}$, then W is a finite Coxeter group, and if $K = \mathbb{Q}$, then W is a Weyl group.

Let \mathcal{A} be the set of reflecting hyperplanes of W. Let $\mathfrak{h}^{\text{reg}} := \mathfrak{h} \setminus \bigcup_{H \in \mathcal{A}} H$ and $B_W := \pi_1(\mathfrak{h}^{\text{reg}}/W, x_0)$, where x_0 is some fixed basepoint. The group B_W is the braid group of W. For every orbit \mathcal{C} of W on \mathcal{A} , we set $e_{\mathcal{C}}$ the common order of the subgroups W_H , where H is any element of \mathcal{C} and W_H is the pointwise stabiliser of H. Note that W_H is cyclic, for all $H \in \mathcal{A}$.

We choose a set of indeterminates $\mathbf{u} = (u_{\mathcal{C},j})_{(\mathcal{C} \in \mathcal{A}/W)(0 \le j \le e_{\mathcal{C}}-1)}$ and we denote by $\mathbb{Z}[\mathbf{u}, \mathbf{u}^{-1}]$ the Laurent polynomial ring in all the indeterminates \mathbf{u} . We define the *generic Hecke algebra* $\mathcal{H}(W)$ of W to be the quotient of the group algebra $\mathbb{Z}[\mathbf{u}, \mathbf{u}^{-1}]B_W$ by the ideal generated by the elements of the form

$$(\mathbf{s} - u_{\mathcal{C},0})(\mathbf{s} - u_{\mathcal{C},1}) \cdots (\mathbf{s} - u_{\mathcal{C},e_{\mathcal{C}}-1}),$$

where C runs over the set A/W and s runs over the set of monodromy generators around the images in $\mathfrak{h}^{\text{reg}}/W$ of the elements of C [Broué et al. 1998, Section 4].

From now on, we will make certain assumptions for $\mathcal{H}(W)$. These assumptions are known to hold for all finite Coxeter groups [Bourbaki 2002, IV, Section 2], $G(\ell, p, n)$ [Broué et al. 1999; Malle and Mathas 1998; Geck et al. 2000] and a few of the exceptional complex reflection groups [Marin 2012; 2014]¹; they are expected to be true for all complex reflection groups.

Hypothesis 3.3. (a) The algebra $\mathcal{H}(W)$ is a free $\mathbb{Z}[u, u^{-1}]$ -module of rank equal to the order of W.

(b) There exists a symmetrising trace τ on $\mathcal{H}(W)$ that satisfies certain canonicality conditions [Broué et al. 1999, Sections 1 and 2]; the form τ specialises to the canonical symmetrising form on the group algebra when $u_{\mathcal{C},j} \mapsto \zeta_{ec}^j$.

Under these assumptions, Malle [1999, 5.2] has shown that there exists $N_W \in \mathbb{Z}_{>0}$ such that if we take

$$u_{\mathcal{C},j} = \zeta_{e_{\mathcal{C}}}^{j} v_{\mathcal{C},j}^{N_{W}}, \tag{3.4}$$

and set $v := (v_{\mathcal{C},j})_{(\mathcal{C} \in \mathcal{A}/W)(0 \le j \le e_{\mathcal{C}} - 1)}$, then the K(v)-algebra $K(v)\mathcal{H}(W)$ is split semisimple. By Tits's deformation theorem, it follows that the specialisation $v_{\mathcal{C},j} \mapsto 1$ induces a bijection between $\mathrm{Irr}(K(v)\mathcal{H}(W))$ and $\mathrm{Irr}(W)$. From now on, we will consider $\mathcal{H}(W)$ as an algebra over $\mathbb{Z}_K[v, v^{-1}]$, where \mathbb{Z}_K denotes the integral closure of \mathbb{Z} in K.

 $^{^{1}}$ Malle and Michel [2010] mention that these assumptions have been confirmed computationally by Müller in several exceptional cases, but this work is not published. Moreover, in [Broué and Malle 1993] the assumption (a) is proved for the groups G_4 , G_5 , G_{12} and G_{25} , but Marin [2014] pointed out that these proofs might contain a questionable argument.

Example 3.5. The group $W = G(\ell, 1, n)$ is isomorphic to the wreath product $(\mathbb{Z}/\ell\mathbb{Z}) \wr \mathfrak{S}_n$ and its splitting field is $K = \mathbb{Q}(\zeta_\ell)$. In this particular case, we can take $N_W = 1$. The algebra $K(v)\mathcal{H}(W)$ is generated by elements s, t_1, \ldots, t_{n-1} satisfying the braid relations of type B_n (given by

$$st_1st_1 = t_1st_1s$$
, $st_i = t_is$, $t_{i-1}t_it_{i-1} = t_it_{i-1}t_i$

for i = 2, ..., n - 1 and $t_i t_j = t_j t_i$ for |i - j| > 1), together with the extra relations

$$(s - v_{s,0})(s - \zeta_{\ell}v_{s,1}) \cdots (s - \zeta_{\ell}^{\ell-1}v_{s,\ell-1}) = 0, \quad (t_i - v_{t,0})(t_i + v_{t,1}) = 0,$$

for all i = 1, ..., n - 1. The Hecke algebra of $G(\ell, 1, n)$ is also known as *Ariki–Koike algebra*, with the last quadratic relation usually looking like this:

$$(\mathbf{t}_i - q)(\mathbf{t}_i + 1) = 0,$$

where q is an indeterminate. The irreducible representations of $G(\ell, 1, n)$, and thus the irreducible representations of $K(v)\mathcal{H}(W)$, are parametrised by the ℓ -partitions of n.

Let now q be an indeterminate and let $\mathbf{m} = (m_{\mathcal{C},j})_{(\mathcal{C} \in \mathcal{A}/W)(0 \le j \le e_{\mathcal{C}} - 1)}$ be a family of integers. The \mathbb{Z}_K -algebra morphism

$$\varphi_{\mathbf{m}}: \mathbb{Z}_K[\mathbf{v}, \mathbf{v}^{-1}] \to \mathbb{Z}_K[q, q^{-1}], \quad v_{\mathcal{C},j} \mapsto q^{m_{\mathcal{C},j}}$$

is called a *cyclotomic specialisation*. The $\mathbb{Z}_K[q,q^{-1}]$ -algebra $\mathcal{H}_{\varphi_m}(W)$ obtained as the specialisation of $\mathcal{H}(W)$ via φ_m is called a *cyclotomic Hecke algebra* associated with W. The Iwahori–Hecke algebras defined in the previous section are cyclotomic Hecke algebras associated with real reflection groups. The algebra $K(q)\mathcal{H}_{\varphi_m}(W)$ is split semisimple [Chlouveraki 2009, Proposition 4.3.4]. By Tits's deformation theorem, the specialisation $q \mapsto 1$ yields a bijection between $Irr(K(q)\mathcal{H}_{\varphi_m}(W))$ and Irr(W).

- **3B.** Schur elements and the a-function. The symmetrising trace τ (see Hypothesis 3.3) can be extended to $K(v)\mathcal{H}(W)$ by extension of scalars, and can be used to define Schur elements $(s_E)_{E \in Irr(W)}$ for $\mathcal{H}(W)$. The Schur elements of $\mathcal{H}(W)$ have been explicitly calculated for all complex reflection groups:
 - for finite Coxeter groups see Section 2B;
 - for complex reflection groups of type $G(\ell, 1, n)$ by Geck et al. [2000] and Mathas [2004];
 - for complex reflection groups of type $G(\ell, 2, 2)$ by Malle [1997];
 - for the remaining exceptional complex reflection groups by Malle [1997; 2000].

With the use of Clifford theory, we obtain the Schur elements for type $G(\ell, p, n)$ from those of type $G(\ell, 1, n)$ when n > 2 or n = 2 and p is odd. The Schur elements for type $G(\ell, p, 2)$ when p is even derive from those of type $G(\ell, 2, 2)$. See [Malle 1995; Chlouveraki 2009, A.7].

Using a case-by-case analysis, we have been able to determine that the Schur elements of $\mathcal{H}(W)$ have the following form [Chlouveraki 2009, Theorem 4.2.5].

Theorem 3.6. Let $E \in Irr(W)$. The Schur element s_E is an element of $\mathbb{Z}_K[v, v^{-1}]$ of the form

$$s_E = \xi_E N_E \prod_{i \in I_E} \Psi_{E,i}(M_{E,i}),$$
 (3.7)

where

- (a) ξ_E is an element of \mathbb{Z}_K ,
- (b) $N_E = \prod_{\mathcal{C},j} v_{\mathcal{C},j}^{b_{\mathcal{C},j}}$ is a monomial in $\mathbb{Z}_K[\boldsymbol{v},\boldsymbol{v}^{-1}]$ with $\sum_{j=0}^{e_{\mathcal{C}}-1} b_{\mathcal{C},j} = 0$ for all $\mathcal{C} \in \mathcal{A}/W$,
- (c) I_E is an index set,
- (d) $(\Psi_{E,i})_{i \in I_F}$ is a family of K-cyclotomic polynomials in one variable,
- (e) $(M_{E,i})_{i \in I_E}$ is a family of monomials in $\mathbb{Z}_K[\boldsymbol{v}, \boldsymbol{v}^{-1}]$ such that if

$$M_{E,i} = \prod_{\mathcal{C},j} v_{\mathcal{C},j}^{a_{\mathcal{C},j}},$$

then

$$\gcd(a_{\mathcal{C},j}) = 1$$
 and $\sum_{j=0}^{e_{\mathcal{C}}-1} a_{\mathcal{C},j} = 0$ for all $\mathcal{C} \in \mathcal{A}/W$.

Equation (3.7) gives the factorisation of s_E into irreducible factors. The monomials $(M_{E,i})_{i \in I_E}$ are unique up to inversion, and we will call them *potentially essential* for W.

Remark 3.8. Theorem 3.6 was independently obtained by Rouquier [2008, Theorem 3.5] using a general argument on rational Cherednik algebras.

Example 3.9. Consider \mathfrak{S}_3 , which is isomorphic to G(1, 1, 3). We have

$$s_{E^{(3)}} = \Phi_2(v_{t,0}v_{t,1}^{-1})\Phi_3(v_{t,0}v_{t,1}^{-1}), \quad s_{E^{(2,1)}} = v_{t,0}^{-1}v_{t,1}\Phi_3(v_{t,0}v_{t,1}^{-1}),$$

$$s_{E^{(1,1,1)}} = v_{t,0}^{-3}v_{t,1}^3\Phi_2(v_{t,0}v_{t,1}^{-1})\Phi_3(v_{t,0}v_{t,1}^{-1}).$$

Let $\varphi_m : v_{\mathcal{C},j} \mapsto q^{m_{\mathcal{C},j}}$ be a cyclotomic specialisation. The canonical symmetrising trace on $\mathcal{H}(W)$ specialises via φ_m to become the canonical symmetrising trace τ_{φ_m} on $\mathcal{H}_{\varphi_m}(W)$. The Schur elements of $\mathcal{H}_{\varphi_m}(W)$ with respect to τ_{φ_m} are

 $(\varphi_m(s_E))_{E \in Irr(W)}$, hence they can be written in the form (2.10). We can again define functions $a^m : Irr(W) \to \mathbb{Z}$ and $A^m : Irr(W) \to \mathbb{Z}$ such that

$$a_E^{\mathbf{m}} := -\operatorname{val}_q(\varphi_{\mathbf{m}}(s_E))$$
 and $A_E^{\mathbf{m}} := -\operatorname{deg}_q(\varphi_{\mathbf{m}}(s_E))$.

3C. Families of characters and Rouquier families. Let $\varphi_m : v_{\mathcal{C},j} \mapsto q^{m_{\mathcal{C},j}}$ be a cyclotomic specialisation and let $\mathcal{H}_{\varphi_m}(W)$ be the corresponding cyclotomic Hecke algebra associated with W. How can we define families of characters for $\mathcal{H}_{\varphi_m}(W)$? We cannot apply Lusztig's original definition, because parabolic subgroups of complex reflection groups² do not have a nice presentation as in the real case, and certainly not a "corresponding" parabolic Hecke algebra. On the other hand, we do not have a Kazhdan–Lusztig basis for $\mathcal{H}_{\varphi_m}(W)$, so we cannot construct Kazhdan–Lusztig cells and use them to define families of characters for complex reflection groups in the usual way. However, we can define the families of characters to be the Rouquier families of $\mathcal{H}_{\varphi_m}(W)$, that is, the blocks of $\mathcal{H}_{\varphi_m}(W)$ over the Rouquier ring $\mathcal{R}_K(q)$, where

$$\mathcal{R}_K(q) = \mathbb{Z}_K[q, q^{-1}, (q^n - 1)_{n>1}^{-1}].$$

Similarly to the real case, the Rouquier families are the nonempty subsets B of Irr(W) that are minimal with respect to the property:

$$\sum_{E \in B} \frac{\varphi_{m}(\chi_{E}(h))}{\varphi_{m}(s_{E})} \in \mathcal{R}_{K}(q) \quad \text{ for all } h \in \mathcal{H}(W).$$

Broué and Kim [2002] determined the Rouquier families for the complex reflection groups of type $G(\ell, 1, n)$, but their results are only true when ℓ is a power of a prime number or φ_m is a "good" cyclotomic specialisation. The same problem persists, and some new appear, in the determination of the Rouquier families for $G(\ell, p, n)$ by Kim [2005]. Malle and Rouquier [2003] calculated the Rouquier families for some exceptional complex reflection groups and the dihedral groups, for a certain choice of cyclotomic specialisation. More recently, we managed to determine the Rouquier families for all cyclotomic Hecke algebras of all complex reflection groups [Chlouveraki 2007; 2008b; 2009; 2010], thanks to their property of "semicontinuity" (the term is due to Cédric Bonnafé). In order to explain this property, we will need some definitions.

Let

$$M = \prod_{\mathcal{C},j} v_{\mathcal{C},j}^{a_{\mathcal{C},j}}$$

²The parabolic subgroups of a complex reflection group $W \subset GL(\mathfrak{h})$ are the pointwise stabilisers of the subsets of \mathfrak{h} . It is a remarkable theorem by Steinberg [1964, Theorem 1.5] that all parabolic subgroups of W are again complex reflection groups.

be a potentially essential monomial for W. We say that the family of integers $\mathbf{m} = (m_{\mathcal{C},j})_{(\mathcal{C} \in \mathcal{A}/W)(0 \le j \le e_{\mathcal{C}}-1)}$ belongs to the potentially essential hyperplane H_M (of $\mathbb{R}^{\sum_{\mathcal{C}} e_{\mathcal{C}}}$) if $\sum_{\mathcal{C},j} m_{\mathcal{C},j} a_{\mathcal{C},j} = 0$.

Suppose that m belongs to no potentially essential hyperplane. Then the Rouquier families of $\mathcal{H}_{\varphi_m}(W)$ are called *Rouquier families associated with no essential hyperplane*. Now suppose that m belongs to a unique potentially essential hyperplane H. Then the Rouquier families of $\mathcal{H}_{\varphi_m}(W)$ are called *Rouquier families associated with H*. If they do not coincide with the Rouquier families associated with no essential hyperplane, then H is called an *essential hyperplane* for W. All these notions are well-defined and they do not depend on the choice of m because of the following theorem [Chlouveraki 2009, Section 4.4].

Theorem 3.10 (semicontinuity property of Rouquier families). Let

$$\mathbf{m} = (m_{\mathcal{C},j})_{(\mathcal{C} \in \mathcal{A}/W)(0 \le j \le e_{\mathcal{C}} - 1)}$$

be a family of integers and let $\varphi_m : v_{C,j} \mapsto q^{m_{C,j}}$ be the corresponding cyclotomic specialisation. The Rouquier families of $\mathcal{H}_{\varphi_m}(W)$ are unions of the Rouquier families associated with the essential hyperplanes that m belongs to and they are minimal with respect to that property.

Thanks to the above result, it is enough to do calculations in a finite number of cases in order to obtain the families of characters for all cyclotomic Hecke algebras, whose number is infinite.

Example 3.11. For $W = \mathfrak{S}_3$, the Rouquier families associated with no essential hyperplane are trivial. The hyperplane H_M corresponding to the monomial $M = v_{t,0}v_{t,1}^{-1}$ is essential, and it is the unique essential hyperplane for \mathfrak{S}_3 . Let $\varphi_m : v_{t,j} \mapsto q^{m_j}$, j = 0, 1, be a cyclotomic specialisation. We have that $m = (m_0, m_1)$ belongs to H_M if and only if $m_0 = m_1$. There is a single Rouquier family associated with H_M , which contains all irreducible representations of \mathfrak{S}_3 .

We have also shown that the functions *a* and *A* are constant on the Rouquier families, for all cyclotomic Hecke algebras of all complex reflection groups [Chlouveraki 2008a; 2008b; 2010].

3D. Canonical basic sets. Given a cyclotomic Hecke algebra $\mathcal{H}_{\varphi_m}(W)$ and a ring homomorphism $\theta: q \mapsto \eta \in \mathbb{C} \setminus \{0\}$, we obtain a semisimplicity criterion and a decomposition map exactly as in Section 2D. A canonical basic set with respect to θ is also defined in the same way.

In [Chlouveraki and Jacon 2011], we showed the existence of canonical basic sets with respect to any θ for all cyclotomic Hecke algebras associated with finite Coxeter groups, that is, when the weight function L in the definition of $\mathcal{H}(W, L)$ is also allowed to take negative values.

For nonreal complex reflection groups, things become more complicated. For $W = G(\ell, 1, n)$, consider the specialised Ariki–Koike algebra with relations

$$(s - \zeta_e^{s_0})(s - \zeta_e^{s_1}) \cdots (s - \zeta_e^{s_{\ell-1}}) = 0, \quad (t_i - \zeta_e)(t_i + 1) = 0$$
for $i = 1, \dots, n-1$. (3.12)

where $(s_0, \ldots, s_{\ell-1}) \in \mathbb{Z}^\ell$ and $e \in \mathbb{Z}_{>0}$. With the use of Ariki's theorem [Ariki 1996] and Uglov's work [2000] on canonical bases for higher level Fock spaces, Geck and Jacon have shown that, for a suitable choice of m, the corresponding function a^m yields a canonical basic set for the above specialised Ariki–Koike algebra [Geck and Jacon 2006; 2011; Jacon 2004; 2007]. This canonical basic set consists of the so-called Uglov ℓ -partitions [Jacon 2007, Definition 3.2]. However, this does not work the other way round: not all cyclotomic Ariki–Koike algebras admit canonical basic sets. For a study about which values of m yield canonical basic sets, see [Gerber 2014].

In [Chlouveraki and Jacon 2012], building on work by Genet and Jacon [2006], we generalised the above result to obtain canonical basic sets for all groups of type $G(\ell, p, n)$ with n > 2, or n = 2 and p odd.

Finally, for the exceptional complex reflection groups of rank 2 (G_4 , ..., G_{22}), we have shown the existence of canonical basic sets for the cyclotomic Hecke algebras appearing in [Broué and Malle 1993] with respect to any θ [Chlouveraki and Miyachi 2011].

4. Symplectic reflection algebras

Let V be a complex vector space of finite dimension n, and let $G \subset GL(V)$ be a finite group. Let $\mathbb{C}[V]$ be the set of regular functions on V, which is the same thing as the symmetric algebra $Sym(V^*)$ of the dual space of V. The group G acts on $\mathbb{C}[V]$ as follows:

$${}^g f(v) := f(g^{-1}v)$$
 for all $g \in G$, $f \in \mathbb{C}[V]$, $v \in V$.

We set

$$\mathbb{C}[V]^G := \{ f \in \mathbb{C}[V] \mid {}^g f = f \text{ for all } g \in G \},$$

the space of fixed points of $\mathbb{C}[V]$ under the action of G. It is a classical problem in algebraic geometry to try and understand as a variety the space

$$V/G = \operatorname{Spec} \mathbb{C}[V]^G$$
.

Is the space V/G singular? How much? The first question is answered by the following result from [Shephard and Todd 1954; Chevalley 1955].

Theorem 4.1. The following statements are equivalent:

- (1) V/G is smooth.
- (2) $\mathbb{C}[V]^G$ is a polynomial algebra, on n homogeneous generators.
- (3) G is a complex reflection group.

Example 4.2. Let \mathfrak{S}_n act on $V = \mathbb{C}^n$ by permuting the coordinates. Let $\mathbb{C}[V] = \mathbb{C}[X_1, \ldots, X_n]$ and let $\Sigma_1, \Sigma_2, \ldots, \Sigma_n$ be the elementary symmetric polynomials in n variables. We have

$$\mathbb{C}[V]^{\mathfrak{S}_n} = \mathbb{C}[\Sigma_1(X_1, \dots, X_n), \Sigma_2(X_1, \dots, X_n), \dots, \Sigma_n(X_1, \dots, X_n)].$$

More generally, we have

$$\mathbb{C}[V]^{G(\ell,1,n)} = \mathbb{C}[\Sigma_1(X_1^{\ell}, \dots, X_n^{\ell}), \Sigma_2(X_1^{\ell}, \dots, X_n^{\ell}), \dots, \Sigma_n(X_1^{\ell}, \dots, X_n^{\ell})],$$

where $G(\ell, 1, n) \cong (\mathbb{Z}/\ell\mathbb{Z})^n \rtimes \mathfrak{S}_n$ and $(\mathbb{Z}/\ell\mathbb{Z})^n$ acts on V by multiplying the coordinates by ℓ -th roots of unity. Note that $G(\ell, 1, n)$ acts irreducibly on V if and only if $\ell > 1$.

Example 4.3. Let $V = \mathbb{C}^2$ and let G be a finite subgroup of $SL_2(\mathbb{C})$. Then G is not a complex reflection group (in fact, it contains no pseudoreflections at all). The singular space \mathbb{C}^2/G is called a *Kleinian* (or *Du Val*) singularity. The simplest example we can take is

$$G = \left\{ \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} \right\};$$

we will use it to illustrate further notions.

4A. *Symplectic reflection groups.* The group G in Example 4.3 might not be a complex reflection group, but it is a symplectic reflection group, which is quite close. Moreover, the space \mathbb{C}^2/G is not smooth (following Theorem 4.1), but it admits a symplectic resolution.

Let (V, ω_V) be a symplectic vector space, let $\operatorname{Sp}(V)$ be the group of symplectic transformations on V and let $G \subset \operatorname{Sp}(V)$ be a finite group. The triple (G, V, ω_V) is called a *symplectic triple*. A symplectic triple is *indecomposable* if there is no G-equivariant splitting $V = V_1 \oplus V_2$ with $\omega_V(V_1, V_2) = 0$. Any symplectic triple is a direct sum of indecomposable symplectic triples.

Definition 4.4. Let (G, V, ω_V) be a symplectic triple and let $(V/G)_{sm}$ denote the smooth part of V/G. A *symplectic resolution* of V/G is a resolution of singularities $\pi: X \to V/G$ such that there exists a complex symplectic form ω_X on X for which the isomorphism

$$\pi|_{\pi^{-1}((V/G)_{\mathrm{sm}})}:\pi^{-1}((V/G)_{\mathrm{sm}})\to (V/G)_{\mathrm{sm}}$$

is a symplectic isomorphism.

The existence of a symplectic resolution for V/G is a very strong condition and implies that the map π has some very good properties; for example, π is "semismall" [Verbitsky 2000, Theorem 2.8]. Moreover, all crepant resolutions of V/G are symplectic [loc. cit., Theorem 2.5].

Verbitsky has shown that if V/G admits a symplectic resolution, then G is generated by symplectic reflections [loc. cit., Theorem 3.2].

Definition 4.5. A *symplectic reflection* is a nontrivial element $s \in Sp(V)$ such that $rank(s-id_V) = 2$. The symplectic triple (G, V, ω_V) is a *symplectic reflection group* if G is generated by symplectic reflections.

Hence, if the space V/G admits a symplectic resolution, then (G, V, ω_V) is a symplectic reflection group; the converse is not true. The classification of such symplectic reflection groups is almost complete thanks to the representation theory of symplectic reflection algebras.

Example 4.6. Following Example 4.3, let G be the cyclic group of order 2, denoted by μ_2 , acting on $V = \mathbb{C} \oplus \mathbb{C}^*$ by multiplication by -1. Let ω_V be the *standard symplectic form* on V, that is,

$$\omega_V(y_1 \oplus x_1, y_2 \oplus x_2) = x_2(y_1) - x_1(y_2). \tag{4.7}$$

Letting $\mathbb{C}[V] = \mathbb{C}[X, Y]$, we see that

$$\mathbb{C}[V]^G = \mathbb{C}[X^2, XY, Y^2] \cong \mathbb{C}[A, B, C]/(AC - B^2),$$

the quadratic cone. This has an isolated singularity at the origin, that is, at the zero orbit, which can be resolved by blowing up there. The resulting resolution $\pi: T^*\mathbb{P}^1 \to V/G$ is a symplectic resolution where $T^*\mathbb{P}^1$ has its canonical symplectic structure.

The classification of (indecomposable) symplectic reflection groups is due to Huffman and Wales [1976], Cohen [1980], and Guralnick and Saxl [2003]. Except for a finite list of explicit exceptions with $\dim_{\mathbb{C}}(V) \leq 10$, there are two classes of symplectic reflection groups:

 Wreath products. Let Γ ⊂ SL₂(ℂ) be finite: such groups are called Kleinian subgroups and they preserve the canonical symplectic structure on ℂ². Set

$$V = \underbrace{\mathbb{C}^2 \oplus \mathbb{C}^2 \oplus \cdots \oplus \mathbb{C}^2}_{n \text{ summands}}$$

with the symplectic form ω_V induced from that on \mathbb{C}^2 and let $G = \Gamma \wr \mathfrak{S}_n$ act in the obvious way on V.

• Complex reflection groups. Let $G \subset GL(\mathfrak{h})$ be a complex reflection group. Set $V = \mathfrak{h} \oplus \mathfrak{h}^*$ with its standard symplectic form ω_V (see (4.7)) and with G acting diagonally.

In both these cases, (G, V, ω_V) is a symplectic reflection group.

Remark 4.8. Note that in the second case, where G is a complex reflection group, the space \mathfrak{h}/G is smooth, but V/G is not. The symplectic reflections in (G, V, ω_V) are the pseudoreflections in (G, \mathfrak{h}) .

Remark 4.9. There is a small overlap between the two main families of symplectic reflection groups, namely the complex reflection groups of type $G(\ell, 1, n)$.

Wang [1999, Sections 1.3 and 1.4] observes that if $G = \Gamma \wr \mathfrak{S}_n$ for some $\Gamma \subset \mathrm{SL}_2(\mathbb{C})$, then V/G has a symplectic resolution given by the Hilbert scheme of n points on the minimal resolution of the Kleinian singularity \mathbb{C}^2/Γ . In Section 6 we will see what happens in the case where G is a complex reflection group.

4B. The symplectic reflection algebra $H_{t,c}(G)$. From now on, let (G, V, ω_V) be a symplectic reflection group and let S be the set of all symplectic reflections in G.

Definition 4.10. The *skew-group ring* $\mathbb{C}[V] \rtimes G$ is, as a vector space, equal to $\mathbb{C}[V] \otimes \mathbb{C}G$ and the multiplication is given by

$$g \cdot f = {}^g f \cdot g$$
 for all $g \in G$, $f \in \mathbb{C}[V]$.

The centre $Z(\mathbb{C}[V] \rtimes G)$ of the skew-group ring is equal to $\mathbb{C}[V]^G$. It has been an insight of Etingof and Ginzburg [2002], which goes back to (at least) Crawley-Bovey and Holland [1998], that, in order to understand Spec $\mathbb{C}[V]^G$, we could look at deformations of $\mathbb{C}[V] \rtimes G$, hoping that the centre of the deformed algebra is itself a deformation of $\mathbb{C}[V]^G$. These deformations are the symplectic reflection algebras.

Let $s \in \mathcal{S}$. The spaces $\operatorname{Im}(s - \operatorname{id}_V)$ and $\operatorname{Ker}(s - \operatorname{id}_V)$ are symplectic subspaces of V with $\dim_{\mathbb{C}} \operatorname{Im}(s - \operatorname{id}_V) = 2$ and $V = \operatorname{Im}(s - \operatorname{id}_V) \oplus \operatorname{Ker}(s - \operatorname{id}_V)$. Let ω_s be the 2-form on V whose restriction to $\operatorname{Im}(s - \operatorname{id}_V)$ is ω_V and whose restriction to $\operatorname{Ker}(s - \operatorname{id}_V)$ is zero. Let ω_{V^*} be the symplectic form on V^* corresponding to ω_V (under the identification of V and V^* induced by ω_V), and let TV^* denote the tensor algebra on V^* . Finally, let $c : \mathcal{S} \to \mathbb{C}$ be a *conjugacy invariant function*, that is, a map such that

$$c(gsg^{-1}) = c(s)$$
 for all $s \in S$, $g \in G$.

Definition 4.11. Let $t \in \mathbb{C}$. We define the *symplectic reflection algebra* $H_{t,c}(G)$ of G to be

$$\boldsymbol{H}_{t,\boldsymbol{c}}(G) := TV^* \rtimes G / \langle [u,v] - (t \,\omega_{V^*}(u,v) - 2 \sum_{s \in \mathcal{S}} \boldsymbol{c}(s) \,\omega_s(u,v) \, s \rangle \, \big| \, u,v \in V^* \rangle.$$

Note that the above definition simply describes how two vectors in V^* commute with each other in $H_{t,c}(G)$, and that we have $[u, v] \in \mathbb{C}G$ for all $u, v \in V^*$.

Remark 4.12. For all $\lambda \in \mathbb{C}^{\times}$, we have $H_{\lambda t, \lambda c}(G) \cong H_{t, c}(G)$. So we only need to consider the cases t = 1 and t = 0.

Remark 4.13. We have $H_{0.0}(G) = \mathbb{C}[V] \rtimes G$.

Example 4.14. Let us consider the example of the cyclic group $\mu_2 = \langle s \rangle$ acting on $V = \mathbb{C}^2$, so that

$$sx = -x$$
, $sy = -y$ and $\omega_{V^*}(y, x) = 1$,

where $\{x, y\}$ is a basis of $(\mathbb{C}^2)^*$. We have $\omega_s = \omega_{V^*}$, since $\mathrm{Im}(s - \mathrm{id}_V) = V$. Then $H_{t,c}(\mu_2)$ is the quotient of $\mathbb{C}\langle x, y, s \rangle$ by the relations:

$$s^2 = 1$$
, $sx = -xs$, $sy = -ys$, $[y, x] = t - 2c(s)s$.

Example 4.15. Let $V = \mathbb{C}^2$. Then $\operatorname{Sp}(V) = \operatorname{SL}_2(\mathbb{C})$ and we can take G to be any finite subgroup of $\operatorname{SL}_2(\mathbb{C})$. Let $\{x, y\}$ be a basis of $(\mathbb{C}^2)^*$ such that $\omega_{V^*}(y, x) = 1$. Every $g \neq 1$ in G is a symplectic reflection and $\omega_g = \omega_{V^*}$. Then

$$H_{t,c}(G) = \mathbb{C}\langle x, y \rangle \times G / \langle [y, x] - (t - 2 \sum_{g \in G \setminus \{1\}} c(g)g) \rangle.$$

There is a natural filtration \mathcal{F} on $H_{t,c}(G)$ given by putting V^* in degree one and G in degree zero. The crucial result by Etingof and Ginzburg is the Poincaré–Birkhoff–Witt (PBW) theorem [Etingof and Ginzburg 2002, Theorem 1.3].

Theorem 4.16. There is an isomorphism of algebras

$$\operatorname{gr}_{\mathcal{T}}(\boldsymbol{H}_{t,c}(G)) \cong \mathbb{C}[V] \rtimes G,$$

given by $\sigma(v) \mapsto v$, $\sigma(g) \mapsto g$, where $\sigma(h)$ denotes the image of $h \in H_{t,c}(G)$ in $gr_{\mathcal{F}}(H_{t,c}(G))$. In particular, there is an isomorphism of vector spaces

$$H_{t,c}(G) \cong \mathbb{C}[V] \otimes \mathbb{C}G.$$

Moreover, symplectic reflection algebras are the only deformations of $\mathbb{C}[V] \rtimes G$ with this property (PBW property).

The most important consequence of the PBW theorem is that it gives us an explicit basis of the symplectic reflection algebra. The proof of it is an application of a general result by Braverman and Gaitsgory: If *I* is a two-sided ideal of

 $TV^* \rtimes G$ generated by a space U of elements of degree at most two, then [Braverman and Gaitsgory 1996, Theorem 0.5] gives necessary and sufficient conditions so that the quotient $TV^* \rtimes G/I$ has the PBW property. The PBW property also implies that $H_{t,c}(G)$ has some good ring-theoretic properties, for example:

Corollary 4.17. (i) The algebra $H_{t,c}(G)$ is a Noetherian ring.

(ii) $H_{t,c}(G)$ has finite global dimension.

Remark 4.18. For general pairs (G, V) a description of PBW deformations of $\mathbb{C}[V] \times G$ was originally given by Drinfeld [1986]. In the symplectic case this was rediscovered by Etingof and Ginzburg as above, and Drinfeld's general case was described in detail by Ram and Shepler [2003].

4C. *The spherical subalgebra.* We saw in the previous subsection that the skewgroup ring $\mathbb{C}[V] \rtimes G$ is not commutative and that its centre $Z(\mathbb{C}[V] \rtimes G)$ is equal to $\mathbb{C}[V]^G$. We will now see that $\mathbb{C}[V] \rtimes G$ contains another subalgebra isomorphic to $\mathbb{C}[V]^G$.

Let $e := \frac{1}{|G|} \sum_{g \in G} g$ be the trivial idempotent in $\mathbb{C}G$. One can easily check that the map

$$\mathbb{C}[V]^G \to e(\mathbb{C}[V] \rtimes G)e,$$

$$f \mapsto efe,$$
(4.19)

is an algebra isomorphism. We have efe = fe, for all $f \in \mathbb{C}[V]^G$.

Definition 4.20. We define the *spherical subalgebra* of $H_{t,c}(G)$ to be the algebra

$$U_{t,c}(G) := e H_{t,c}(G) e.$$

The filtration \mathcal{F} on $H_{t,c}(G)$ induces, by restriction, a filtration on $U_{t,c}(G)$. The PBW theorem, in combination with (4.19), implies that there is an isomorphism of algebras

$$\operatorname{gr}_{\mathcal{F}}(U_{t,c}(G)) \cong e(\mathbb{C}[V] \rtimes G)e \cong \mathbb{C}[V]^G$$

and an isomorphism of vector spaces

$$U_{t,c}(G) \cong \mathbb{C}[V]^G$$
.

Thus, the spherical subalgebra provides a flat deformation of the coordinate ring of V/G, as desired.

Example 4.21. Let $G = \mu_2 = \langle s \rangle$ acting on $V = \mathbb{C}^2$ as in Example 4.14. Then $e = \frac{1}{2}(1+s)$. The spherical subalgebra $U_{t,c}(\mu_2)$ is generated as a \mathbb{C} -algebra by

$$h := -\frac{1}{2}e(xy + yx)e$$
, $e := \frac{1}{2}ex^2e$ and $f := \frac{1}{2}ey^2e$.

There are relations

$$[e, f] = th,$$
 $[h, e] = -2te,$ $[h, f] = 2tf,$
 $ef = (2c(s) - h/2)(t/2 - c(s) - h/2).$

So if t = 0, $U_{t,c}(\mu_2)$ is commutative, while if t = 1, $U_{t,c}(\mu_2)$ is a central quotient of the enveloping algebra of $\mathfrak{sl}_2(\mathbb{C})$.

The space $H_{t,c}(G)e$ is a $(H_{t,c}(G), U_{t,c}(G))$ -bimodule and it is called *the Etingof–Ginzburg sheaf*. The following result is known as the "double centraliser property" [Etingof and Ginzburg 2002, Theorem 1.5].

Proposition 4.22. (i) The right $U_{t,c}(G)$ -module $H_{t,c}(G)$ is reflexive.

- (ii) $\operatorname{End}_{\boldsymbol{H}_{t,c}(G)}(\boldsymbol{H}_{t,c}(G)e)^{op} \cong \boldsymbol{U}_{t,c}(G).$
- (iii) $\operatorname{End}_{U_{t,c}(G)^{op}}(H_{t,c}(G)e) \cong H_{t,c}(G).$

This is important, because, in general, we have an explicit presentation of $H_{t,c}(G)$, but not of $U_{t,c}(G)$. The above result allows us to study $U_{t,c}(G)$ by studying $H_{t,c}(G)$ instead.

4D. The centre of $H_{t,c}(G)$. The behaviour of the centre of the spherical subalgebra observed in Example 4.21 is the same for all symplectic reflection groups [Etingof and Ginzburg 2002, Theorem 1.6].

Theorem 4.23. (i) If t = 0, then $U_{t,c}(G)$ is commutative.

(ii) If
$$t \neq 0$$
, then $Z(U_{t,c}(G)) = \mathbb{C}$.

Now the double centraliser property can be used to prove the following result relating the centres of $U_{t,c}(G)$ and $H_{t,c}(G)$.

Theorem 4.24 (the Satake isomorphism). The map $z \mapsto ze$ defines an algebra isomorphism $Z(H_{t,c}(G)) \cong Z(U_{t,c}(G))$ for all parameters (t, c).

Corollary 4.25. (i) If t = 0, then $Z(H_{t,c}(G)) \cong U_{t,c}(G)$.

(ii) If
$$t \neq 0$$
, then $Z(\mathbf{H}_{t,c}(G)) = \mathbb{C}$.

Thus, the symplectic reflection algebra $H_{t,c}(G)$ produces a commutative deformation of the space V/G when t=0.

4E. Symplectic resolutions. In this subsection, we will focus on the case t = 0. Set $Z_c(G) := Z(H_{0,c}(G))$. We have $Z_c(G) \cong U_{0,c}(G)$, and so $H_{0,c}(G)$ is a finitely generated $Z_c(G)$ -module.

Definition 4.26. The generalised Calogero–Moser space $X_c(G)$ is defined to be the affine variety Spec $Z_c(G)$.

Since the associated graded of $Z_c(G)$ is $\mathbb{C}[V]^G$ (with respect to the filtration \mathcal{F}), $X_c(G)$ is irreducible. The following result, due to Ginzburg and Kaledin [2004, Proposition 1.18 and Theorem 1.20] and Namikawa [2011, Corollary 2.10], gives us a criterion for V/G to admit a symplectic resolution, using the geometry of the generalised Calogero–Moser space.

Theorem 4.27. Let (G, V, ω_V) be an (irreducible) symplectic reflection group. The space V/G admits a symplectic resolution if and only if $X_c(G)$ is smooth for generic values of c (equivalently, there exists c such that $X_c(G)$ is smooth).

Example 4.28. Consider again the example of $\mu_2 = \langle s \rangle$ acting on \mathbb{C}^2 . The centre of $H_{0,c}(\mu_2)$ is generated by $A := x^2$, B := xy - c(s)s and $C := y^2$. Thus,

$$X_c(\mu_2) \cong \mathbb{C}[A, B, C]/(AC - (B + c(s))(B - c(s)))$$

is the affine cone over $\mathbb{P}^1 \subset \mathbb{P}^2$ when c(s) = 0, but is a smooth affine surface for $c(s) \neq 0$.

As we mentioned in Section 4A, if $G = \Gamma \wr \mathfrak{S}_n$ for some $\Gamma \subset \operatorname{SL}_2(\mathbb{C})$, then V/G always admits a symplectic resolution, that is, $X_c(G)$ is smooth for generic c. On the other hand, if $G \subset \operatorname{GL}(\mathfrak{h})$ is a complex reflection group acting on $V = \mathfrak{h} \oplus \mathfrak{h}^*$, this is not always the case. Etingof and Ginzburg proved that $X_c(G)$ is smooth for generic c when $G = G(\ell, 1, n)$ [Etingof and Ginzburg 2002, Corollary 1.14]. However, Gordon showed that, for most finite Coxeter groups not of type A_n or B_n , $X_c(G)$ is a singular variety for all choices of the parameter c [Gordon 2003, Proposition 7.3]. Finally, using the Calogero–Moser partition of $\operatorname{Irr}(G)$ described in [Gordon and Martino 2009], Bellamy [2009, Theorem 1.1] proved that $X_c(G)$ is smooth for generic values of c if and only if $G = G(\ell, 1, n)$ or $G = G_4$. We will revisit this result in Section 6.

Following the classification of symplectic reflection groups, and all the works mentioned above, the classification of quotient singularities admitting symplectic resolutions is (almost) complete.

4F. *Rational Cherednik algebras.* From now on, let $W \subset GL(\mathfrak{h})$ be a complex reflection group and let $V = \mathfrak{h} \oplus \mathfrak{h}^*$. There is a natural pairing $(,) : \mathfrak{h} \times \mathfrak{h}^* \to \mathbb{C}$ given by (y, x) := x(y). Then the standard symplectic form ω_V on V is given by

$$\omega_V(y_1 \oplus x_1, y_2 \oplus x_2) = (y_1, x_2) - (y_2, x_1).$$

The triple (W, V, ω_V) is a symplectic reflection group. The set S of all symplectic reflections in (W, V, ω_V) coincides with the set of pseudoreflections in (W, \mathfrak{h}) . Let $c : S \to \mathbb{C}$ be a conjugacy invariant function.

Definition 4.29. The *rational Cherednik algebra* of W is the symplectic reflection algebra $H_{t,c}(W)$ associated to (W, V, ω_V) .

For $s \in \mathcal{S}$, fix $\alpha_s \in \mathfrak{h}^*$ to be a basis of the one-dimensional vector space $\operatorname{Im}(s - \operatorname{id}_V)|_{\mathfrak{h}^*}$ and $\alpha_s^{\vee} \in \mathfrak{h}$ to be a basis of the one-dimensional vector space $\operatorname{Im}(s - \operatorname{id}_V)|_{\mathfrak{h}}$. Then $H_{t,c}(W)$ is the quotient of $TV^* \rtimes W$ by the relations:

$$[x_1, x_2] = 0, \quad [y_1, y_2] = 0, \quad [y, x] = t(y, x) - 2\sum_{s \in \mathcal{S}} c(s) \frac{(y, \alpha_s)(\alpha_s^{\vee}, x)}{(\alpha_s^{\vee}, \alpha_s)} s$$

$$(4.30)$$

for all $x_1, x_2, x \in \mathfrak{h}^*$ and $y_1, y_2, y \in \mathfrak{h}$.

Example 4.31. Let $W = \mathfrak{S}_n$ and $\mathfrak{h} = \mathbb{C}^n$. Choose a basis x_1, \ldots, x_n of \mathfrak{h}^* and a dual basis y_1, \ldots, y_n of \mathfrak{h} so that

$$\sigma x_i = x_{\sigma(i)} \sigma$$
 and $\sigma(y_i) = y_{\sigma(i)} \sigma$ for all $\sigma \in \mathfrak{S}_n$, $1 \le i \le n$.

The set S is the set of all transpositions in \mathfrak{S}_n . We denote by s_{ij} the transposition (i, j). Set

$$\alpha_{ij} := x_i - x_j$$
 and $\alpha_{ij}^{\vee} = y_i - y_j$ for all $1 \le i < j \le n$.

We have $(\alpha_{ij}^{\vee}, \alpha_{ij}) = 2$. There is a single conjugacy class in S, so take $c \in \mathbb{C}$. Then $H_{t,c}(\mathfrak{S}_n)$ is the quotient of $TV^* \rtimes \mathfrak{S}_n$ by the relations:

$$[x_i, x_j] = 0$$
, $[y_i, y_j] = 0$, $[y_i, x_i] = t - c \sum_{j \neq i} s_{ij}$, $[y_i, x_j] = c s_{ij}$, for $i \neq j$.

5. Rational Cherednik algebras at t = 1

The PBW theorem implies that the rational Cherednik algebra $H_{1,c}(W)$, as a vector space, has a "triangular decomposition"

$$H_{1,c}(W) \cong \mathbb{C}[\mathfrak{h}] \otimes \mathbb{C}W \otimes \mathbb{C}[\mathfrak{h}^*].$$

Another famous example of a triangular decomposition is the one of the enveloping algebra $U(\mathfrak{g})$ of a finite dimensional, semisimple complex Lie algebra \mathfrak{g} (into the enveloping algebras of the Cartan subalgebra, the nilpotent radical of the Borel subalgebra and its opposite). In the representation theory of \mathfrak{g} , one of the categories of modules most studied, and best understood, is category \mathcal{O} , the abelian category generated by all highest weight modules. Therefore, it makes sense to want to construct and study an analogue of category \mathcal{O} for rational Cherednik algebras.

5A. Category \mathcal{O} . Let $H_{1,c}(W)$ -mod be the category of all finitely generated $H_{1,c}(W)$ -modules. We say that a module $M \in H_{1,c}(W)$ -mod is *locally nilpotent* for the action of $\mathfrak{h} \subset \mathbb{C}[\mathfrak{h}^*]$ if for each $m \in M$ there exists N >> 0 such that $\mathfrak{h}^N \cdot m = 0$.

Definition 5.1. We define \mathcal{O} to be the category of all finitely generated $H_{1,c}(W)$ -modules that are locally nilpotent for the action of $\mathfrak{h} \subset \mathbb{C}[\mathfrak{h}^*]$.

Remark 5.2. Each module in category \mathcal{O} is finitely generated as a $\mathbb{C}[\mathfrak{h}]$ -module.

Category \mathcal{O} has been thoroughly studied in [Ginzburg et al. 2003]. Proofs of all its properties presented here can be found in this paper.

For all $E \in Irr(W)$, we set

$$\Delta(E) := \mathbf{H}_{1,c}(W) \otimes_{\mathbb{C}[\mathfrak{h}^*] \rtimes W} E,$$

where $\mathbb{C}[\mathfrak{h}^*]$ acts trivially on E (that is, the augmentation ideal $\mathbb{C}[\mathfrak{h}^*]_+$ acts on E as zero) and W acts naturally. The module $\Delta(E)$ belongs to \mathcal{O} and is called a *standard module* (or *Verma module*). Each standard module $\Delta(E)$ has a simple head L(E) and the set

$$\{L(E) \mid E \in Irr(W)\}$$

is a complete set of pairwise nonisomorphic simple modules of the category \mathcal{O} . Every module in \mathcal{O} has finite length, so we obtain a well-defined square decomposition matrix

$$\mathbf{D} = ([\Delta(E) : L(E')])_{E,E' \in Irr(W)},$$

where $[\Delta(E) : L(E')]$ equals the multiplicity with which the simple module L(E') appears in the composition series of $\Delta(E)$. We have $[\Delta(E) : L(E)] = 1$.

Proposition 5.3. *The following are equivalent:*

- (1) \mathcal{O} is semisimple.
- (2) $\Delta(E) = L(E)$ for all $E \in Irr(W)$.
- (3) D is the identity matrix.

Now, there exist several orderings on the set of standard modules of \mathcal{O} (and consequently on Irr(W)) for which \mathcal{O} is a highest weight category in the sense of [Cline et al. 1988] (see also [Rouquier 2008, Section 5.1]). If $<_{\mathcal{O}}$ is such an ordering on Irr(W), and if $[\Delta(E):L(E')]\neq 0$ for some $E,E'\in Irr(W)$, then either E=E' or $E'<_{\mathcal{O}}E$. Thus, we can arrange the rows of D so that the decomposition matrix is lower unitriangular. We will refer to these orderings on Irr(W) as *orderings on the category* \mathcal{O} . A famous example of such an ordering is the one given by the c-function.

5B. A change of parameters and the c-function. In order to relate rational Cherednik algebras with cyclotomic Hecke algebras via the KZ-functor in the next subsection, we need to change the parametrisation of $H_{1,c}(W)$. As in Section 3A, let \mathcal{A} denote the set of reflecting hyperplanes of W. For $H \in \mathcal{A}$, let W_H be the pointwise stabiliser of H in W. The group W_H is cyclic and its order,

denoted by $e_{\mathcal{C}}$, only depends on the orbit $\mathcal{C} \in \mathcal{A}/W$ that H belongs to. We have that

$$\mathcal{S} = \bigcup_{H \in \mathcal{A}} W_H \setminus \{1\}.$$

For each $s \in W_H \setminus \{1\}$, we have $\operatorname{Ker} \alpha_s = H$. Without loss of generality, we may assume that $\alpha_s = \alpha_{s'}$ and $\alpha_s^{\vee} = \alpha_{s'}^{\vee}$ for all $s, s' \in W_H \setminus \{1\}$. Set $\alpha_H := \alpha_s$ and $\alpha_H^{\vee} := \alpha_s^{\vee}$. Then the third relation in (4.30) becomes

$$[y, x] = (y, x) - 2 \sum_{H \in \mathcal{A}} \frac{(y, \alpha_H)(\alpha_H^{\vee}, x)}{(\alpha_H^{\vee}, \alpha_H)} \sum_{s \in W_H \setminus \{1\}} c(s) s \quad \text{for all } x \in \mathfrak{h}^*, y \in \mathfrak{h}.$$

We define a family of complex numbers $k = (k_{\mathcal{C},j})_{(\mathcal{C} \in \mathcal{A}/W)(0 \le j \le e_{\mathcal{C}}-1)}$ by

$$-2\sum_{s\in W_{H}\setminus\{1\}} \boldsymbol{c}(s) \, s = \sum_{s\in W_{H}\setminus\{1\}} \left(\sum_{j=0}^{e_{\mathcal{C}}-1} \det(s)^{-j} (k_{\mathcal{C},j} - k_{\mathcal{C},j-1})\right) s, \quad \text{ for } H \in \mathcal{C},$$

with $k_{\mathcal{C},-1} = 0$. This implies that

$$c(s) = -\frac{1}{2} \sum_{i=0}^{e_{\mathcal{C}}-1} \det(s)^{-j} (k_{\mathcal{C},j} - k_{\mathcal{C},j-1}).$$

From now on, we will denote by $H_k(W)$ the quotient of $TV^* \rtimes W$ by the relations

$$[x_1, x_2] = 0, \quad [y_1, y_2] = 0, \quad [y, x] = (y, x) + \sum_{H \in A} \frac{(y, \alpha_H)(\alpha_H^{\vee}, x)}{(\alpha_H^{\vee}, \alpha_H)} \gamma_H,$$

where

$$\gamma_H = \sum_{w \in W_H \setminus \{1\}} \left(\sum_{j=0}^{e_{\mathcal{C}} - 1} \det(w)^{-j} (k_{\mathcal{C}, j} - k_{\mathcal{C}, j-1}) \right) w,$$

for all $x_1, x_2, x \in \mathfrak{h}^*$ and $y_1, y_2, y \in \mathfrak{h}$. We have $H_k(W) = H_{1,c}(W)$. Let $E \in Irr(W)$. We denote by c_E the scalar by which the element

$$-\sum_{H\in\mathcal{A}}\sum_{j=0}^{e_{\mathcal{C}}-1} \left(\sum_{w\in W_H} (\det w)^{-j} w\right) k_{\mathcal{C},j} \in Z(\mathbb{C}W)$$

acts on E. We obtain thus a function $c: Irr(W) \to \mathbb{C}, \ E \mapsto c_E$. The c-function defines an ordering $<_c$ on the category \mathcal{O} as follows: For all $E, E' \in Irr(W)$,

$$E' <_{c} E$$
 if and only if $c_{E} - c_{E'} \in \mathbb{Z}_{>0}$.

Remark 5.4. If $c_E - c_{E'} \notin \mathbb{Z} \setminus \{0\}$ for all $E, E' \in Irr(W)$, then **D** is the identity matrix, and thus \mathcal{O} is semisimple.

Remark 5.5. In the rational Cherednik algebra literature the function c is usually taken to be the negative of the one defined here. In the context of this paper the above definition is more natural. In both cases we obtain an ordering on the category \mathcal{O} .

5C. The KZ-functor. Following [Ginzburg et al. 2003, 5.3], there exists an exact factor, known as the *Knizhnik–Zamalodchikov functor* or simply KZ, between the category \mathcal{O} of $H_k(W)$ and the category of representations of a certain specialised Hecke algebra $\mathcal{H}_k(W)$. Using the notation of Section 3A, the specialised Hecke algebra $\mathcal{H}_k(W)$ is a quotient of the group algebra $\mathbb{C}B_W$ by the ideal generated by the elements of the form

$$(s - \exp(2\pi i k_{\mathcal{C},0}))(s - \zeta_{e_{\mathcal{C}}} \exp(2\pi i k_{\mathcal{C},1})) \cdots (s - \zeta_{e_{\mathcal{C}}}^{e_{\mathcal{C}}-1} \exp(2\pi i k_{\mathcal{C},e_{\mathcal{C}}-1})),$$

where \mathcal{C} runs over the set \mathcal{A}/W and s runs over the set of monodromy generators around the images in $\mathfrak{h}^{\text{reg}}/W$ of the elements of \mathcal{C} . The algebra $\mathcal{H}_k(W)$ is obtained from the generic Hecke algebra $\mathbb{C}[\boldsymbol{v},\boldsymbol{v}^{-1}]\mathcal{H}(W)$ via the specialisation $\Theta: v_{\mathcal{C},j}^{N_W} \mapsto \exp(2\pi i k_{\mathcal{C},j})$ (recall that N_W is the power to which the indeterminates $v_{\mathcal{C},j}$ appear in the defining relations of the generic Hecke algebra so that the algebra $\mathbb{C}(\boldsymbol{v})\mathcal{H}(W)$ is split; see (3.4)). We always assume that Hypothesis 3.3 holds for $\mathcal{H}(W)$.

The functor KZ is represented by a projective object $P_{KZ} \in \mathcal{O}$, and we have $\mathcal{H}_k(W) \cong \operatorname{End}_{H_k(W)}(P_{KZ})^{op}$ [Ginzburg et al. 2003, 5.4]. Based on this, we have the following result due to Vale [2006, Theorem 2.1]:

Proposition 5.6. *The following are equivalent:*

- (1) $H_k(W)$ is a simple ring.
- (2) O is semisimple.
- (3) $\mathcal{H}_k(W)$ is semisimple.

We can thus use the semisimplicity criterion for $\mathcal{H}_k(W)$ given by Theorem 2.17 in order to determine for which values of k the category \mathcal{O} is semisimple.

Now let $<_{\mathcal{O}}$ be any ordering on the category \mathcal{O} as in Section 5A.

Proposition 5.7. Set $\mathbf{B} := \{E \in \operatorname{Irr}(W) \mid \operatorname{KZ}(\operatorname{L}(E)) \neq 0\}.$

- (a) The set $\{KZ(L(E)) | E \in B\}$ is a complete set of pairwise nonisomorphic simple $\mathcal{H}_k(W)$ -modules.
- (b) For all $E \in Irr(W)$, $E' \in \mathbf{B}$, we have

$$[\Delta(E) : L(E')] = [KZ(\Delta(E)) : KZ(L(E'))].$$

(c) If $E \in \mathbf{B}$, then $[KZ(\Delta(E)) : KZ(L(E))] = 1$.

(d) If $[KZ(\Delta(E)) : KZ(L(E'))] \neq 0$ for some $E \in Irr(W)$ and $E' \in \mathbf{B}$, then either E = E' or $E' <_{\mathcal{O}} E$.

Property (a) follows from [Ginzburg et al. 2003, Theorem 5.14]. For the proof of properties (b), (c) and (d), all of them deriving from the fact that KZ is exact, the reader may refer to [Chlouveraki et al. 2012, Proposition 3.1].

The simple modules killed by the KZ-functor are exactly the ones that do not have full support. Their determination, and thus the determination of the set B, is a very difficult problem.

We also obtain a decomposition matrix D_k for the specialised Hecke algebra $\mathcal{H}_k(W)$ with respect to the specialisation Θ . The rows of D_k are indexed by Irr(W) and its columns by $Irr(\mathcal{H}_k(W))$. Following Proposition 5.7, D_k can be obtained from the decomposition matrix D of the category \mathcal{O} by removing the columns that correspond to the simple modules killed by the KZ-functor, that is, the columns labelled by $Irr(W) \setminus B$. This implies that D_k becomes lower unitriangular when its rows are ordered with respect to $<_{\mathcal{O}}$, in the same way that, in the cases where Θ factors through a cyclotomic Hecke algebra, the existence of a canonical basic set implies that D_k becomes lower unitriangular when its rows are ordered with respect to the a-function. If we could show that the a-function defines an ordering on the category \mathcal{O} , we would automatically obtain the existence of a canonical basic set for $\mathcal{H}_k(W)$. At the same time, we would obtain the determination of B in the cases where canonical basic sets have already been explicitly described.

5D. The (a + A)-function. Let $m = (m_{C,j})_{(C \in \mathcal{A}/W)(0 \le j \le e_C - 1)}$ be a family of integers and let $\varphi_m : v_{C,j} \mapsto q^{m_{C,j}}$ be the corresponding cyclotomic specialisation for the Hecke algebra $\mathcal{H}(W)$. Let $\theta : q \mapsto \eta$ be a specialisation such that η is a nonzero complex number. If η is not a root of unity or $\eta = 1$, then, due to Theorem 2.17 and the form of the Schur elements of $\mathcal{H}_{\varphi_m}(W)$, the specialised Hecke algebra $\mathcal{H}_{\eta}(W)$ is semisimple. So we may assume from now on that η is a root of unity of order e > 1, namely $\eta = \zeta_e^r$ for some $r \in \mathbb{Z}_{>0}$ such that $\gcd(e, r) = 1$.

Let $\mathbf{k} = (k_{\mathcal{C},j})_{(\mathcal{C} \in \mathcal{A}/W)(0 \le j \le e_{\mathcal{C}} - 1)}$ be the family of rational numbers defined by

$$k_{\mathcal{C},j} := \frac{rN_W}{e} m_{\mathcal{C},j}$$
 for all \mathcal{C}, j .

Then $\mathcal{H}_k(W) = \mathcal{H}_{\eta}(W)$. Following [Chlouveraki et al. 2012, Section 3.3], we obtain the following equation which relates the functions a^m and A^m for $\mathcal{H}_{\varphi_m}(W)$ with the c-function for $H_k(W)$:

$$a_E^m + A_E^m = \frac{e}{rN_W}c_E + \sum_{H \in \mathcal{A}} \sum_{j=0}^{e_C - 1} m_{C,j}$$
 for all $E \in Irr(W)$, (5.8)

where \mathcal{C} denotes the orbit of $H \in \mathcal{A}$ under the action of W.

Remark 5.9. The above formula was also obtained in [Ginzburg et al. 2003, Section 6.2] for finite Weyl groups in the equal parameter case.

Equation (5.8) implies that $a^m + A^m$ yields the same ordering on Irr(W) as the c-function (note that in this case $c_E \in \mathbb{Q}$ for all $E \in Irr(W)$). Thus, $a^m + A^m$ is also an ordering on the category \mathcal{O} , that is, if $[\Delta(E) : L(E')] \neq 0$ for some $E, E' \in Irr(W)$, then either E = E' or $a_{E'}^m + A_{E'}^m < a_E^m + A_E^m$. If now the function a^m is compatible with $a^m + A^m$, that is, for all $E, E' \in Irr(W)$,

$$a_{E'}^{m} + A_{E'}^{m} < a_{E}^{m} + A_{E}^{m} \Rightarrow a_{E'}^{m} < a_{E}^{m},$$
 (5.10)

then a^m is an ordering on the category \mathcal{O} and we obtain the existence of a canonical basic set for $\mathcal{H}_{\varphi_m}(W)$ with respect to θ by Proposition 5.7. This is true in several cases, but unfortunately not true in general. Some exceptional complex reflection groups where (5.10) holds and the above argument works are:

$$G_{23} = H_3, G_{24}, G_{27}, G_{29}, G_{30} = H_4.$$

This yields the existence of canonical basic sets for the groups G_{24} , G_{27} and G_{29} , which was not known before. To summarise, we have the following:

Proposition 5.11. Let $W = G_n$, $n \in \{23, 24, 27, 29, 30\}$. Let m and k be defined as above, and let E, $E' \in Irr(W)$. If $[\Delta(E) : L(E')] \neq 0$, then either E = E' or $a_{E'}^m < a_{E}^m$. In particular, we have $KZ(L(E)) \neq 0$ if and only if E belongs to the canonical basic set of $\mathcal{H}_{\varphi_m}(W)$ with respect to $\theta : q \mapsto \zeta_e^r$.

5E. Canonical basic sets for Iwahori–Hecke algebras from rational Chered-nik algebras. Equation (5.8) has also allowed us to show that, in the case where W is a finite Coxeter group, and assuming that Lusztig's conjectures P1–P15 hold, the c-function is compatible with the ordering $\leq_{\mathcal{LR}}$ on two-sided cells, since a and A are (see [Geck 2009, Remark 5.4] for the a-function, [Lusztig 2003, Corollary 21.6] and [Chlouveraki and Jacon 2011, Proposition 2.8] for A). This in turn was crucial in showing [Chlouveraki et al. 2012, Corollary 4.7]:

Proposition 5.12. Let (W, S) be a finite Coxeter group and let $\mathcal{H}(W, L)$ be the Iwahori–Hecke algebra of W with parameter L, as defined in Section 2A. For $H \in \mathcal{A}$, let $s_H \in W$ be the reflection with reflecting hyperplane H and let \mathcal{C} be the orbit of H under the action of W. If $H' \in \mathcal{C}$, then we have $L(s_H) = L(s_{H'})$

³The groups G_{23} , G_{24} , G_{27} , G_{29} , G_{30} , G_{31} , G_{33} , G_{34} , G_{35} , G_{36} and G_{37} are easy to check with a computer; they are all generated by pseudoreflections of order 2 whose reflecting hyperplanes belong to the same orbit.

and we can set $L_C := L(s_H)$. Let $e, r \in \mathbb{Z}_{>0}$ such that gcd(e, r) = 1, and take, for all $C \in A/W$,

$$k_{\mathcal{C},0} = \frac{rL_{\mathcal{C}}}{e}$$
 and $k_{\mathcal{C},1} = -\frac{rL_{\mathcal{C}}}{e}$.

If $E \in Irr(W)$, then $KZ(L(E)) \neq 0$ if and only if E belongs to the canonical basic set of $\mathcal{H}(W, L)$ with respect to $\theta : q \mapsto \zeta_e^r$.

The proof uses a connection, established in [Chlouveraki et al. 2012, Proposition 4.6], between category \mathcal{O} and the cellular structure of the Iwahori–Hecke algebra. More specifically, if $E \in \operatorname{Irr}(W)$, then $\operatorname{KZ}(\Delta(E))$ is isomorphic to the cell module $W_{\theta}(E)$ defined in [Geck 2007a, Example 4.4]; we will not go into further details here. Note though that, in Proposition 5.12, we have not included the assumption that Lusztig's conjectures must hold. The reason is that the only case where they are not known to hold, the case of B_n , is covered by Corollary 5.18 below.

Remark 5.13. The above result can be generalised to the case where $k_{\mathcal{C},0} = \lambda L_{\mathcal{C}}$ and $k_{\mathcal{C},1} = -\lambda L_{\mathcal{C}}$ for any complex number λ . If $\lambda \in \mathbb{Z}$ or $\lambda \in \mathbb{C} \setminus \mathbb{Q}$, then both category \mathcal{O} and $\mathcal{H}_{\exp(2\pi i\lambda)}(W,L)$ are semisimple, so the statement trivially holds. If λ is a negative rational number, let us say $\lambda = -r/e$ for some $e, r \in \mathbb{Z}_{>0}$ with $\gcd(e,r)=1$, and $E \in \operatorname{Irr}(W)$, then $\operatorname{KZ}(\operatorname{L}(E)) \neq 0$ if and only if E belongs to the canonical basic set of $\mathcal{H}(W,-L)$ with respect to $\theta:q\mapsto \zeta_e^r$. We recall now that the canonical basic sets for finite Coxeter groups where E can take negative values are described in [Chlouveraki and Jacon 2011]. In fact, E belongs to the canonical basic set of E0, with respect to E1 if and only if E1 is belongs to the canonical basic set of E1. We have E2 if and only if E2 if and only if E3 if and only if E4 if and only if E5 if and only if E5 if and only if E6 if and only if E8 if and only if E9 if any interval in E9 if E9 if any interval interval

Proposition 5.12 yields the existence of canonical basic sets for all finite Coxeter groups in a uniform way. At the same time, it yields a description of the simple modules that are not killed by the KZ-functor, since canonical basic sets for finite Coxeter groups are explicitly known (see, for example, [Geck and Jacon 2011]). However, it does not imply that the a-function is an ordering on the category \mathcal{O} , because we do not know what happens with the simple modules killed by the KZ-functor. We do believe though that, for finite Coxeter groups, the a-function is an ordering on the category \mathcal{O} .

Example 5.14. Let W be the symmetric group \mathfrak{S}_n and let l := L(s) for every transposition $s \in \mathfrak{S}_n$ (there exists only one orbit \mathcal{C} in \mathcal{A}/W). Let $\eta^{2l} := \zeta_e^r$ for some $e, r \in \mathbb{Z}_{>0}$ with gcd(e, r) = 1. As we saw in Example 2.20, the canonical basic set \mathcal{B}_θ of $\mathcal{H}(W, l)$ with respect to $\theta : q \mapsto \eta$ consists of the e-regular partitions of n. Now take $k_{\mathcal{C},0} = r/2e$ and $k_{\mathcal{C},1} = -r/2e$. Let λ be a partition of

n and let E^{λ} be the corresponding irreducible representation of \mathfrak{S}_n . We have $KZ(L(E^{\lambda})) \neq 0$ if and only if λ is *e*-regular.

5F. Canonical basic sets for Ariki–Koike algebras from rational Cherednik algebras. As we have said and seen earlier, there exist several orderings on the category \mathcal{O} . For $W = G(\ell, 1, n)$, where the irreducible representations are parametrised by the ℓ -partitions of n, one combinatorial ordering on the category \mathcal{O} is given by Dunkl and Griffeth [2010, Theorem 4.1]. More precisely, in this case, there are two hyperplane orbits in \mathcal{A}/W ; we will denote them by \mathcal{C}_s and \mathcal{C}_t . We have $e_{\mathcal{C}_s} = \ell$ and $e_{\mathcal{C}_t} = 2$. Let $(s_0, \ldots, s_{\ell-1}) \in \mathbb{Z}^{\ell}$ and $e \in \mathbb{Z}_{>0}$. We define $k = (k_{\mathcal{C}_s,0},\ldots,k_{\mathcal{C}_s,\ell-1},k_{\mathcal{C}_t,0},k_{\mathcal{C}_t,1})$ by

$$k_{\mathcal{C}_s,j} = \frac{s_j}{e} - \frac{j}{\ell}$$
 for $j = 0, \dots, \ell - 1, k_{\mathcal{C}_t,0} = \frac{1}{e}, k_{\mathcal{C}_t,1} = 0.$ (5.15)

Then the KZ-functor goes from the category \mathcal{O} for $H_k(W)$ to the category of representations of the specialised Ariki–Koike algebra $\mathcal{H}_k(W)$ with relations

$$(s-\zeta_e^{s_0})(s-\zeta_e^{s_1})\cdots(s-\zeta_e^{s_{\ell-1}})=0, \quad (t_i-\zeta_e)(t_i+1)=0 \quad \text{for } i=1,\ldots,n-1,$$

as in (3.12).

Let $\lambda = (\lambda^{(0)}, \dots, \lambda^{(\ell-1)})$ be an ℓ -partition of n. We will denote by E^{λ} the corresponding irreducible representation of $G(\ell, 1, n)$. We define the set of nodes of λ to be the set

$$[\lambda] = \{(a, b, c) : 0 \le c \le \ell - 1, \ a \ge 1, \ 1 \le b \le \lambda_a^{(c)}\}.$$

Let $\gamma = (a(\gamma), b(\gamma), c(\gamma)) \in [\lambda]$. We set $\vartheta(\gamma) := b(\gamma) - a(\gamma) + s_{c(\gamma)}$. We then have the following [Dunkl and Griffeth 2010, Proof of Theorem 4.1]:

Proposition 5.16. Let λ , λ' be ℓ -partitions of n. If $[\Delta(E^{\lambda}) : L(E^{\lambda'})] \neq 0$, then there exist orderings $\gamma_1, \gamma_2, \ldots, \gamma_n$ and $\gamma'_1, \gamma'_2, \ldots, \gamma'_n$ of the nodes of λ and λ' respectively, and nonnegative integers $\mu_1, \mu_2, \ldots, \mu_n$, such that, for all $1 \leq i \leq n$,

$$\mu_i \equiv c(\gamma_i) - c(\gamma_i') \mod \ell$$
 and $\mu_i = c(\gamma_i) - c(\gamma_i') + \frac{\ell}{e}(\vartheta(\gamma_i') - \vartheta(\gamma_i)).$

Now, there are several different cyclotomic Ariki–Koike algebras that produce the specialised Ariki–Koike algebra $\mathcal{H}_k(W)$ defined above and they may have distinct a-functions attached to them. Using the combinatorial description of the a-function for $G(\ell, 1, n)$ given in [Geck and Jacon 2011, Section 5.5],⁴ we

⁴This definition captures all *a*-functions for $G(\ell, 1, n)$ in the literature: the function a^m for $m_{C_s, j} = s_j \ell - ej$, $j = 0, \ldots, \ell - 1$, given by Jacon [2007] and studied in the context of Uglov's work on canonical bases for higher level Fock spaces, and also the *a*-function for type B_n ($\ell = 2$) arising from the Kazhdan–Lusztig theory for Iwahori–Hecke algebras with unequal parameters (see [Geck and Jacon 2011, 6.7]).

showed in [Chlouveraki et al. 2012, Section 5] that it is compatible with the ordering on category \mathcal{O} given by Proposition 5.16. Consequently, the *a*-function also defines a highest weight structure on \mathcal{O} , that is, we have the following:

Proposition 5.17. Let λ , λ' be ℓ -partitions of n. If $[\Delta(E^{\lambda}) : L(E^{\lambda'})] \neq 0$, then either $\lambda = \lambda'$ or $a_{E^{\lambda'}} < a_{E^{\lambda}}$.

The above result, combined with Proposition 5.7, yields the following:

Corollary 5.18. Let $W = G(\ell, 1, n)$. Let $(s_0, \ldots, s_{\ell-1}) \in \mathbb{Z}^{\ell}$ and $e \in \mathbb{Z}_{>0}$. Let $k = (k_{\mathcal{C}_s,0}, \ldots, k_{\mathcal{C}_s,\ell-1}, k_{\mathcal{C}_t,0}, k_{\mathcal{C}_t,1})$ be defined as in (5.15). If λ is an ℓ -partition of n, then $KZ(L(E^{\lambda})) \neq 0$ if and only if E^{λ} belongs to the canonical basic set for $\mathcal{H}_k(W)$ with respect to the a-function above.

Thus, we obtain the existence of canonical basic sets for Ariki–Koike algebras without the use of Ariki's theorem. On the other hand, the description of the canonical basic sets for Ariki–Koike algebras by Jacon [2007, Main Theorem] yields a description of the set $\mathbf{B} = \{E^{\lambda} \in \operatorname{Irr}(W) \mid \operatorname{KZ}(\operatorname{L}(E^{\lambda})) \neq 0\}$: we have that $E^{\lambda} \in \mathbf{B}$ if and only if λ is an Uglov ℓ -partition.

Finally, we expect a result similar to Corollary 5.18 to hold in the case where $W = G(\ell, p, n)$ for p > 1.

6. Rational Cherednik algebras at t = 0

Let us now consider the rational Cherednik algebra $H_{0,c}(W)$. In this case, the centre of $H_{0,c}(W)$ is isomorphic to the spherical subalgebra of $H_{0,c}(W)$, that is, $Z(H_{0,c}(W)) \cong eH_{0,c}e$, where $e := \frac{1}{|W|} \sum_{w \in W} w$. So $H_{0,c}(W)$ is a finitely generated $Z(H_{0,c}(W))$ -module. From now on, we set $Z_c(W) := Z(H_{0,c}(W))$.

6A. *Restricted rational Cherednik algebras.* In the case of finite Coxeter groups the following was proved in [Etingof and Ginzburg 2002, Proposition 4.15], and the general case is due to Gordon [2003, Proposition 3.6].

Proposition 6.1. (a) The subalgebra $\mathfrak{m} := \mathbb{C}[\mathfrak{h}]^W \otimes \mathbb{C}[\mathfrak{h}^*]^W$ of $H_{0,c}(W)$ is contained in $Z_c(W)$.

(b) $Z_c(W)$ is a free \mathfrak{m} -module of rank |W|.

Let \mathfrak{m}_+ denote the ideal of \mathfrak{m} consisting of elements with zero constant term.

Definition 6.2. We define the restricted rational Cherednik algebra to be

$$\overline{H}_{0,c}(W) := H_{0,c}(W)/\mathfrak{m}_+ H_{0,c}(W).$$

This algebra was originally introduced, and extensively studied, in [Gordon 2003]. The PBW theorem implies that, as a vector space,

$$\overline{H}_{0,c}(W) \cong \mathbb{C}[\mathfrak{h}]^{coW} \otimes \mathbb{C}W \otimes \mathbb{C}[\mathfrak{h}^*]^{coW},$$

where $\mathbb{C}[\mathfrak{h}]^{coW} = \mathbb{C}[\mathfrak{h}]/\langle \mathbb{C}[\mathfrak{h}]_+^W \rangle$ is the *coinvariant algebra*. Since W is a complex reflection group, $\mathbb{C}[\mathfrak{h}]^{coW}$ has dimension |W| and is isomorphic to the regular representation as a $\mathbb{C}W$ -module. Thus, $\dim_{\mathbb{C}} \overline{H}_{0,c}(W) = |W|^3$.

Let $E \in Irr(W)$. We set

$$\overline{\Delta}(E) := \overline{\boldsymbol{H}}_{0,\boldsymbol{c}}(W) \otimes_{\mathbb{C}[\mathfrak{h}^*]^{\mathrm{co}W} \rtimes W} E,$$

where $\mathbb{C}[\mathfrak{h}^*]^{\operatorname{co}W}$ acts trivially on E (that is, $\mathbb{C}[\mathfrak{h}^*]^{\operatorname{co}W}_+$ acts on E as zero) and W acts naturally. The module $\overline{\Delta}(E)$ is the *baby Verma module* of $\overline{H}_{0,c}(W)$ associated to E. We summarise, as is done in [Gordon 2003, Proposition 4.3], the results of Holmes and Nakano [1991] applied to this situation.

Proposition 6.3. Let $E, E' \in Irr(W)$.

- (i) The baby Verma module $\overline{\Delta}(E)$ has a simple head, $\overline{L}(E)$. Hence, $\overline{\Delta}(E)$ is indecomposable.
- (ii) $\overline{\Delta}(E) \cong \overline{\Delta}(E')$ if and only if $E \cong E'$.
- (iii) The set $\{\bar{L}(E) \mid E \in Irr(W)\}$ is a complete set of pairwise nonisomorphic simple $\overline{H}_{0,c}$ -modules.

6B. The Calogero–Moser partition. Recall that the generalised Calogero–Moser space $X_c(W)$ is defined to be the affine variety Spec $Z_c(W)$. By Theorem 4.27, $(\mathfrak{h} \oplus \mathfrak{h}^*)/W$ admits a symplectic resolution if and only if $X_c(W)$ is smooth for generic values of c. Etingof and Ginzburg proved that $X_c(G)$ is smooth for generic c when $W = G(\ell, 1, n)$ [Etingof and Ginzburg 2002, Corollary 1.14]. Later, Gordon [2003, Proposition 7.3] showed that $X_c(G)$ is a singular variety for all choices of the parameter c for the following finite Coxeter groups: D_{2n} ($n \ge 2$), E_6 , E_7 , E_8 , E_4 , E_3 , E_4 , E_4 , E_5 , E_8 , E_7 , E_8 , E_8 , E_8 , E_9 ,

Now, since the algebra $\overline{H}_{0,c}$ is finite dimensional, we can define its blocks in the usual way (see Section 1A). Let $E, E' \in Irr(W)$. Following [Gordon and Martino 2009], we define the *Calogero–Moser partition* of Irr(W) to be the set of equivalence classes of Irr(W) under the equivalence relation:

$$E \sim_{CM} E'$$
 if and only if $\overline{L}(E)$ and $\overline{L}(E')$ belong to the same block.

We will simply write CM_c -partition for the Calogero–Moser partition of Irr(W). The inclusion $\mathfrak{m} \subset Z_c(W)$ defines a finite surjective morphism

$$\mathcal{Y}: X_c(W) \longrightarrow \mathfrak{h}/W \times \mathfrak{h}^*/W$$
,

where $\mathfrak{h}/W \times \mathfrak{h}^*/W = \operatorname{Spec} \mathfrak{m}$. Müller's theorem (see [Brown and Gordon 2001, Corollary 2.7]) implies that the natural map $\operatorname{Irr}(W) \to \mathcal{Y}^{-1}(0)$, $E \mapsto \operatorname{Supp}(\overline{L}(E))$ factors through the CM_c -partition. Using this fact, one can show that the geometry of $X_c(W)$ is related to the CM_c -partition in the following way.

Theorem 6.4. *The following are equivalent:*

- (1) The generalised Calogero–Moser space $X_c(W)$ is smooth.
- (2) The CM_c -partition of Irr(W') is trivial for every parabolic subgroup W' of W.

Using the above result and the classification of irreducible complex reflection groups (see Theorem 3.1), Bellamy [2009, Theorem 1.1]has shown the following:

Theorem 6.5. Let W be an irreducible complex reflection group. The generalised Calogero–Moser space $X_c(W)$ is smooth for generic values of c if and only if W is of type $G(\ell, 1, n)$ or G_4 . In every other case, $X_c(W)$ is singular for all choices of c.

Corollary 6.6. Let W be an irreducible complex reflection group. The space $(\mathfrak{h} \oplus \mathfrak{h}^*)/W$ admits a symplectic resolution if and only if W is of type $G(\ell, 1, n)$ or G_4 .

6C. The Calogero–Moser partition and Rouquier families. It just so happens that the cases where $X_c(W)$ is generically smooth, and the Calogero–Moser partition generically trivial, are exactly the cases where the Rouquier families are generically trivial (that is, the Rouquier families associated with no essential hyperplane are singletons). This, combined with the fact that the Calogero–Moser partition into blocks enjoys some property of semicontinuity, led to the question whether there is a connection between the two partitions.

The question was first asked by Gordon and Martino [2009] in terms of a connection between the Calogero-Moser partition and families of characters for type B_n . In their paper, they computed the CM_c -partition, for all c, for complex reflection groups of type $G(\ell, 1, n)$ and showed that for $\ell = 2$, using the conjectural combinatorial description of Kazhdan–Lusztig cells for type B_n by Bonnafé et al. [2010], the CM_c-partition coincides with the partition into Kazhdan–Lusztig families. After that, Martino [2010] compared the combinatorial description of the CM_c -partition for type $G(\ell, 1, n)$ given in [Gordon and Martino 2009] with the description of the partition into Rouquier families, given by Chlouveraki [2008b], for a suitable cyclotomic Hecke algebra \mathcal{H}_c of $G(\ell, 1, n)$ (different from the one defined in Section 5C). He showed that the two partitions coincide when ℓ is a power of a prime number (which includes the cases of type A_n and B_n), but not in general. In fact, he showed that the CM_c -partition for $G(\ell, 1, n)$ is the same as the one obtained by Broué and Kim [2002]. He thus obtained the following two connections between the CM_c -partition and the partition into Rouquier families for $G(\ell, 1, n)$, and he conjectured that they hold for every complex reflection group W [Martino 2010, 2.7]:

- (a) The CM_c -partition for generic c coincides with the generic partition into Rouquier families (both being trivial for $W = G(\ell, 1, n)$);
- (b) The partition into Rouquier families refines the CM_c -partition, for all choices of c; that is, if $E, E' \in Irr(W)$ belong to the same Rouquier family of \mathcal{H}_c , then $E \sim_{CM} E'$.

Conditions (a) and (b) are known as "Martino's conjecture". Using the combinatorics of [Gordon and Martino 2009] and [Martino 2010], Bellamy [2012a] computed the CM_c -partition, for all c, and proved Martino's conjecture in the case where W is of type $G(\ell, p, n)$; note that when p > 1 the generic partitions in this case are not trivial. However, a counterexample for (a) was found recently by Thiel [2014] in the case where $W = G_{25}$. Thiel calculated the CM_c -partition for generic c for the exceptional complex reflection groups G_4 , G_5 , G_6 , G_8 , G_{10} , $G_{23} = H_3$, G_{24} , G_{25} and G_{26} . Comparing his results with the generic partition into Rouquier families for these groups, given by [Chlouveraki 2009], he showed that Part (a) of Martino's conjecture holds in every case⁵ except for when $W = G_{25}$. In this particular case, the generic partition into Rouquier families simply refines the CM_c -partition for generic c. So we will state here as a conjecture only Part (b) of Martino's conjecture, which is still an open problem, and proved in all the above cases.

Conjecture 6.7 (Martino's conjecture). Let W be a complex reflection group. The partition into Rouquier families (for a suitably chosen cyclotomic Hecke algebra \mathcal{H}_c of W) refines the CM_c -partition, for all choices of c; that is, if $E, E' \in Irr(W)$ belong to the same Rouquier family of \mathcal{H}_c , then $E \sim_{CM} E'$.

Remark 6.8. Note that, in all the cases checked so far where W is a finite Coxeter group, the partition into Rouquier families and the CM_c -partition coincide. This covers the finite Coxeter groups of types A_n , B_n , D_n and the dihedral groups for all choices of c, and H_3 for generic c.

6D. The Calogero–Moser partition and Kazhdan–Lusztig cells. In an effort to develop a generalised Kazhdan–Lusztig cell theory, Bonnafé and Rouquier [2013] used the Calogero–Moser partition to define what they call Calogero–Moser cells for all complex reflection groups. An advantage of this, quite geometric, approach is that the Calogero–Moser partition exists naturally for all complex reflection groups. It also implies automatically the existence of a semicontinuity property for cells, a property that was conjectured and proved in some cases for Kazhdan–Lusztig cells by Bonnafé [2009]. However, Calogero–Moser cells are very hard to compute and their construction depends on an "uncontrollable" choice. After very long computations by Bonnafé and Rouquier, it is now confirmed that the

⁵For G_4 this was already known by Bellamy [2009].

Calogero–Moser cells coincide with the Kazhdan–Lusztig cells in the smallest possible cases (A_2, B_2, G_2) ; there is still a lot of work that needs to be done.

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